

Results of Mohs' Micrographic Surgery of Periocular Basal Cell Carcinoma: The Swedish Experience

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The Department of Ophthalmology, Sahlgrenska University Hospital, has until recently been the only eye clinic in the Nordic countries to perform Mohs' micrographic surgery of basal cell carcinoma. This has led to the practice of only the most complicated basal cell carcinomas being operated on with this technique. The purpose of this study was to present the results of these surgeries in patients with at least 5 years of follow-up. A retrospective study of all patients operated upon in 2010–2015 was performed. Data were gathered from their medical charts. Primary outcome was recurrence of basal cell carcinoma. One-hundred and sixty-seven patients were operated on. Mohs' micrographic surgery was used for tumours that were judged as highly aggressive on preoperative biopsy, had ill-defined borders, had recurred after previous surgery, or a combination of these factors. Nine recurrences (5.4% of all radical Mohs' micrographic surgeries) were diagnosed after a mean postoperative time of 37 months (4–84 months). Interestingly, all of these 9 recurrences after Mohs' micrographic surgery were in patients who had such surgery because of a recurrent basal cell carcinoma to start with. Good results can be achieved when operating on the most complicated periocular basal cell carcinomas with Mohs' micrographic surgery but special care has to be taken to ensure radical borders when operating on recurring basal cell carcinomas.

Key words: basal cell carcinoma; eyelid; Mohs' micrographic surgery; periocular area; recurrence; skin cancer.

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The most common skin cancer is the basal cell carcinoma (BCC) (1). Over 75% of BCCs occur in the head and neck region (2). Approximately 20% of BCCs appear in the periocular region (3). BCCs account for about 90% of all malignant eyelid tumours (4). The incidence of all BCCs is slowly increasing worldwide (3, 5) and in the Scandinavian countries (6). BCC is by far the most common form of cancer among the Swedish population (7).

SIGNIFICANCE

The most aggressive and complicated basal cell carcinomas in the eye region can successfully be operated on with Mohs' micrographic surgery but special care has to be taken to ensure radical borders when operating tumours recurring after previous surgery.

The gold standard for the treatment of periocular BCC is surgical resection with oculoplastic reconstruction (8, 9). There are several tumour characteristics that are associated with higher recurrence rates, such as localisation in the periocular area, high infiltrative histopathological subtype, ill-defined clinical margins, recurrent lesions, or incompletely excised tumours (10). BCCs with a high risk of recurrence are preferably treated with Mohs' micrographic surgery (MMS), which allows for a complete examination of all tissue margins, minimising the risk of recurrence and avoiding unnecessary removal of healthy tissue (7, 11).

MMS was developed by Frederic E. Mohs in the 1930s and has been used successfully in the treatment of malignant eyelid tumours (12). BCCs can be removed by excising successive layers and microscopically examining 100% of the peripheral and deep margins (4, 13, 14). Evaluation of 100% of the margin leads to the highest cure rates for BCC (15). Additionally, MMS contributes to the preservation of normal tissues (10, 16–18) and may spare vital structures on the eyelids, protecting the lacrimal system and canthi. What is most vital is that the eye is preserved. “Perhaps, in no other location are the dual benefits of MMS (high cure rate and tissue preservation) so important” (14).

At the time of surgery, our clinic was the only eye clinic in Scandinavia performing MMS, which led to a selection towards the inclusion of the most difficult cases in our material. Hence, our material has a different case mix from many other publications on the results of surgery according to Mohs. Our group has previously presented a study using a smaller base of material with shorter follow-up times (19, 20).

The aim of this study is to present the results from our investigations of MMS for BCCs in the periocular area in patients with at least 5 years of follow-up, with a focus on recurrences and postoperative complications. Additionally, we seek to encourage others to commence offering MMS for BCCs in the periocular area.

MATERIALS AND METHODS

A retrospective study with data collected from the medical charts of all the patients who underwent MMS because of BCC in the periocular area from 2010 and including 2015 at the Department of Ophthalmology, Sahlgrenska University Hospital, Sweden, was carried out. It was approved by the Swedish Ethical Review Authority (2019-02100) and was conducted in compliance with the ethical principles for medical research contained in the Declaration of Helsinki.

All patients had biopsy-verified BCC and MMS was reserved for individuals with the most complicated tumours (highly aggressive, recurring lesions, ill-defined tumours, or combinations of these). Patients were referred from different parts of Sweden.

All surgery was performed by an oculoplastic surgeon with the assistance of a dermatopathologist. The oculoplastic surgeon performed the surgical part of MMS (tumour excision and reconstructive surgery) whereas the dermatopathologist was responsible for the histopathological analysis of the specimens.

Data on BCC type, periocular location, side, year of surgery, age and gender of the patient, any known immunosuppression, number of resections to reach tumour-free margins, postoperative complications, recurrences, time to recurrence, and additional treatments were gathered from the medical records. For those patients who lived outside the Gothenburg region and were followed by their referring physician postoperatively, copies of medical charts were requested.

Follow-up was carried out for at least 5 years. For 152 patients (91%), follow-up with a clinical examination was carried out 1 year after MMS and then a follow-up of their medical record for at least 5 years. Copies of medical records were requested for those patients who lived outside the Gothenburg region and were followed by their referring physician postoperatively. Patients who presented signs of potential recurrence were referred to our department for additional clinical control.

In Sweden BCCs are classified histopathologically according to the system proposed by Jernbeck and Glaumann (21) ("Sabbatsbergsmodellen") (Fig. 1). The following classification is used: nodular BCCs (Glas 1A), superficial BCCs (Glas 1B), infiltrative,

<p>Glas IA – non aggressive</p> <p>1. <i>Growth pattern:</i> Nodular or nodulo-ulcerative.</p> <p>2. <i>Invasion depth:</i> Not involving subcutaneous tissues, cartilage, musculature or bone</p> <p>3. <i>Invasion front:</i> Round distinct border against underlying tissue.</p> <p>4. <i>Size and appearance:</i> Relative cell rich tumors, distinct rounded border zones. Obvious palisading appearance.</p> <p>Glas IB – non aggressive</p> <p>Multifocal appearance, superficial, often cell sparse tumors with histologic appearance with above according to 3–4.</p> <p>Glas II – Intermediate forms between I and III</p> <p>Glas III</p> <p>1. <i>Growth pattern:</i> Infiltrative.</p> <p>2. <i>Invasion depth:</i> Subcutaneous tissue, cartilage and bone involving.</p> <p>3. <i>Invasion front:</i> Irregular diffuse invasion without clear border.</p> <p>4. <i>Size and appearance:</i> Relative cell sparse clusters of cells with pointy irregular offshoots. No palisading appearance. Many cell clusters 1 – 2 cells thick, here and there wedge-shaped appearance.</p>
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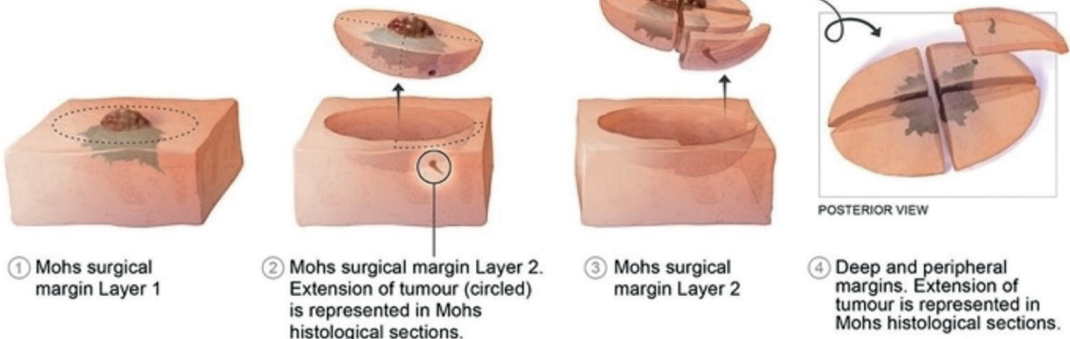
Fig. 1. Histopathological classification of basal cell carcinoma according to "Sabbatsbergsmodellen".

moderately aggressive BCCs (Glas II), and highly aggressive, morpheiform BCCs (Glas III).

Surgical methods

MMS was performed under local anaesthesia. The first Mohs' excision was done with a clinical tumour margin of 2–3 mm. Long-acting

EXCISION FOR MOHS MICROGRAPHIC SURGERY



CONVENTIONAL EXCISION

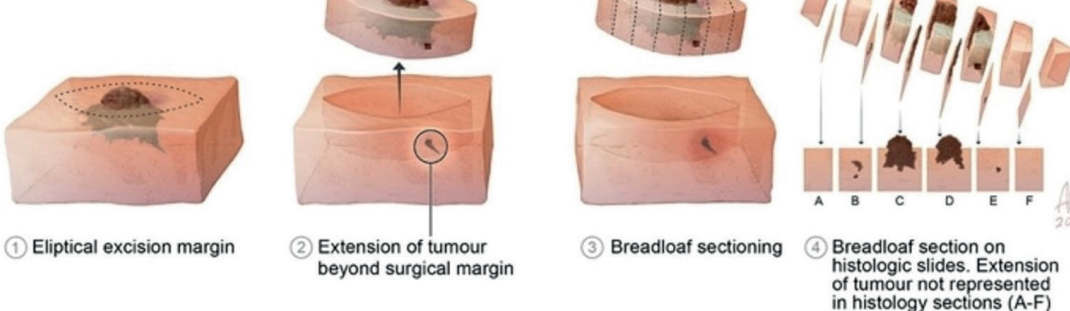


Fig. 2. Definition of Moh's micrographic surgery. (Picture published as free utility on the internet, origin unknown.)

bupivacaine 0.5% (Marcaine®) and lidocaine 1% (Xylocaine®) with adrenaline was used for infiltration anaesthesia. The marked tissue was excised in a single piece with a 45° angle incision. Bipolar diathermy was used for haemostasis. The excised tissue was then transferred to an adjacent laboratory where it was processed into horizontal sections of frozen tissue that theoretically allowed for inspection of 100% of the lateral and deep resection margins.

The histotechnician mapped the excised tissue on a piece of paper, indicating the source of the specimen and the color-coding. The specimen was inverted and several slides were taken from the bottom of the excised tissue and stained with haematoxylin and eosinophil. The slides were examined by a dermatopathologist to ascertain whether the margins were tumour-free. Areas with identified tumour were noted on the drawing and the procedure was repeated until all resected margins were free of BCC. Tumour free margins do not comprise an exact figure. We always have at least 3 tumour-free complete slides of uninfamed tissue, which amounts to approximately 0.24 mm (Fig. 2). Finally, reconstruction of the surgical defect was carried out by the oculoplastic surgeon.

Statistical analysis

Statistical analyses were performed with SPSS version 25.0 (IBM Corp, Armonk, NY, USA). Descriptive statistics and Fisher's exact test were applied when relevant. All tests were two-sided and a *p*-value of <0.05 was considered significant.

RESULTS

A total of 167 patients were operated on during the study period. An example illustrated in Fig. 3. Demographic data, some information concerning the BCCs, and some surgical information are presented in Table I. A total of 116 patients (69.5%) had a primary BCC whereas for 51 (30.5%) the indication for performing an MMS was a recurring tumour. After at least 5 years of follow-up 9

Table I. Demographic data, basal cell carcinoma type, localisation, and some surgical information

Patients, total, <i>n</i> (%)	167 (100)
Year of surgery, <i>n</i> (%)	
2010	22 (13)
2011	22 (13)
2012	27 (16)
2013	30 (18)
2014	32 (19)
2015	34 (20)
Age at surgery, years, mean±SD	74±12
Min-max	35-98
Median	76
Gender, <i>n</i> (%)	
male	54 (32)
female	113 (68)
Side, <i>n</i> (%)	
Right	90 (54)
Left	77 (46)
Pathoanatomical diagnosis at biopsy, <i>n</i> (%)	
Glas I	23 (14)
Glas II	63 (38)
Glas III	75 (45)
Unclassified	6 (3)
Localisation, <i>n</i> (%)	
Lower eyelid	87 (52)
Upper eyelid	17 (10)
Medial canthus	52 (31)
Lateral canthus	11 (7)
Surgical resections, <i>n</i> (%)	
1 resection	55 (33)
2 resections	76 (46)
3 resections	28 (17)
4 resections	5 (3)
5 resections	1 (1)
6 resections	2 (1)

recurrences (5.4%) were identified. These 9 patients are presented in Table II. All recurrences occurred in patients who were operated on with MMS because of recurring BCCs. This was statistically significant (*p*<0.001, Fisher's exact test).

Sixty-two patients (37%) had some postoperative complication, presented in Table III. The most common of these was cicatricial ectropion.

Five patients (3%) required additional cryosurgery after MMS because of remaining superficial BCC at the edge of the resected area. It was impossible to achieve radical MMS for 12 individuals because of BCC ingrowth into the orbit or bone. These patients received postoperative radiotherapy as a supplement. None of

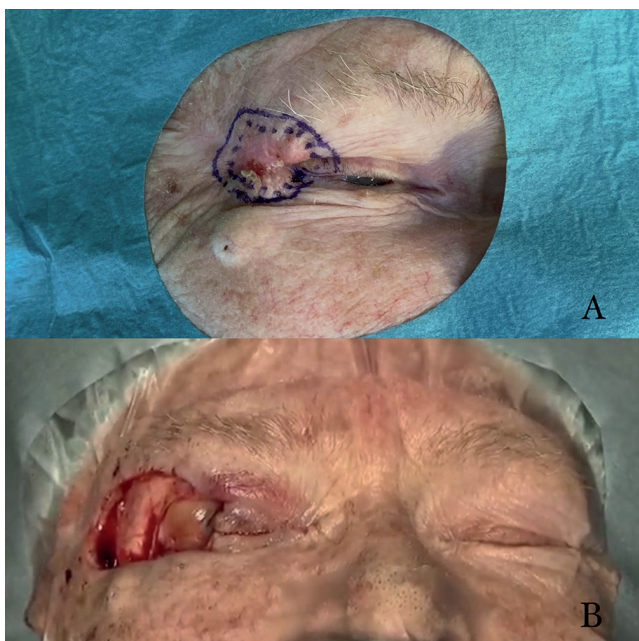


Fig. 3. Basal cell carcinoma of the eyelid. (A) Before excision with markings of clinical boundaries. (B) Surgical area after last excision and before reconstruction. Published with permission from the patient.

Table II. Recurrences

No.	Sex	BCC type	Localization	Time to recurrence (months)	Resections (<i>n</i>)	Immuno-suppression
1	F	Glas II	Lower eyelid	35	1	None
2	M	Glas II	Medial canthus	4	3	None
3	M	Unclassified	Lower eyelid	84	2	HL
4	F	Glas II	Lower eyelid	11	3	None
5	F	Glas III	Medial canthus	30	3	CKD
6	M	Glas III	Lower eyelid	32	2	None
7	F	Glas II	Lower eyelid	50	3	None
8	M	Glas II	Lateral canthus	72	3	None
9	M	Unclassified	Medial canthus	11	2	None

BCC: basal cell carcinoma; HL: Hodgkin's lymphoma; CKD: chronic kidney disease.

Table III. Complications (one patient may have several complications)

Complication	n (%)
Ectropion	19 (11.4)
Entropion	1 (0.6)
Lagophthalmos	10 (6)
Trichiasis	9 (5.4)
Epiphora	8 (4.8)
Dermatochalasis	2 (1.2)
Symblepharon	1 (0.6)
Ankyloblepharon	1 (0.6)
Conjunctival overgrowth	1 (0.6)
Rupture of surgical wound	2 (1.2)
Excessive scarring	8 (4.8)
Corneal erosion	1 (0.6)

the patients who needed postoperative additional cryotherapy or radiotherapy presented a recurrence.

There were 10 patients (6%) who passed away prior to the 5-year follow-up, and for 5 patients (3%) postoperative information was incomplete.

Two patients with recurrences were immunosuppressed. One patient had Hodgkin's lymphoma and the other suffered from chronic kidney disease.

DISCUSSION

The recurrence rate during 5-year follow-up (5.4%) and the mean time to recurrence (36.5 months) found in this study were comparable to that found in previous studies (7). Other studies concerning periocular BCCs treated with MMS have shown similar outcomes and have emphasised the fact that BCCs in the periocular area are difficult to radically excise when not performing MMS (22–24).

In light of our specific difficult case mix our results must be considered acceptable. All BCCs were located periocularly, which is regarded as a high-risk area (10). Most of the BCCs included ($n=138$, 83%) had an aggressive histopathological subtype (Glas type II or type III), ill-defined clinical margins, were recurrent BCCs, or had previously undergone an incomplete primary surgical excision or cryotherapy or a combination of those factors. These features are all known to carry a significant risk of recurrence (17). Those patients ($n=23$) who were operated on with BCC Glas type I had either recurrent tumours, ill-defined margins, or a combination of these factors.

Other European centres have reported 5-year recurrence rates of 1.7–3.2% for primary BCCs and 4.8–6.7% for recurrent BCCs using the same MMS technique to treat BCCs in the facial area (18, 25, 26).

Although there were both primary BCCs ($n=116$, 69.5%) and recurrent BCCs ($n=51$, 30.5%) included in the study, recurrence after MMS occurred only in those patients who had been operated on because of recurrent BCCs. This was a surprising and interesting finding, which might indicate the importance of complete excision of all scar tissue to ensure that all tumour cells are eradicated.

Previously published studies report cases where the scar tissue is not completely excised and therefore the tumour is missed (27, 28). Other studies have tried to explain why recurrences can still occur after treatment with MMS, where theoretically 100% of the resection margins has been histopathologically examined (29–31). Such a factor could, for example, be incomplete Mohs' slides (lacking part of the epidermis or dermis) so that the resection margins were not completely visible and the tumour could be missed (30). Special care has to be taken to ensure radical borders when operating on recurring BCCs.

An equally interesting outcome with almost 0% recurrence rate has been shown when performing MMS on primary BCCs, but other studies have shown as well (17) that there is an increased recurrence rate when performing MMS on recurrent BCCs (16). The complication rate and the type of complications are comparable to previous studies (32).

The most common complication was cicatricial ectropion ($n=19$, 11.4%). This might be partially explained by the fact that the lower eyelid was the most common localisation of BCCs ($n=87$, 52%).

The most common required reconstruction when the defect was in the lower eyelid was a full-thickness skin graft combined with surgical flaps. This may sometimes lead to a cicatricial ectropion if the postoperative care is not optimal. Lagophthalmos and epiphora were presented in 6% and 4.8% respectively. Both complications are also often associated with cicatricial ectropion.

Periocular complications from the surgical management of BCCs are best managed by preventing them in the first place with excellent surgical technique and preoperative planning.

Another interesting finding was that none of the patients who required additional radiotherapy after MMS presented a recurrence during this 5-year follow-up, despite the fact that these tumours were some of the most complicated BCCs. Radiotherapy as management of BCCs is an established therapy but there are few publications evaluating radiotherapy as an additive when tumour-free margins have not been achieved with MMS. However, Leshin et al. (33) have suggested that modern radiotherapy techniques may offer adequate tumour control with less damage to surrounding tissues.

Radiotherapy is often reserved for unresectable lesions or for patients unfit for surgery. It appears that radiotherapy is associated with a high rate of local control and a low rate of serious side effects (34). In general, adjuvant radiotherapy is often recommended after wide excision with narrow or positive margins or with high-risk factors present, including perineural invasion, invasion of the bone or nerves, or with recurrent disease (35). The goal of adjuvant radiotherapy is to further minimise the risk of local or regional recurrence. Orbital invasion is rare with a reported incidence of 2% and it can even lead to exenteration (36).

Follow-up one year after surgery and subsequent visits when needed might be considered a limitation in our study. We have made extensive efforts in collecting medical records for 5 years for all patients. As ours was the only eye clinic at the time to perform MMS we judge it very likely that any recurrence should have been reported to or referred to us.

In conclusion, for BCCs in the periocular region, MMS should be the treatment of choice for both primary high-risk BCCs and recurrent periocular BCCs. The increased recurrence rate, demonstrated by recurrent BCCs, highlights the need for comprehensive follow-up and special attention when operating on recurring tumours.

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