

## Role of psychosocial factors on treatment outcome of temporomandibular disorders

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### ABSTRACT

**Objectives:** The aim of this randomized controlled study was to investigate the effect of depressive and non-specific physical symptoms on treatment outcome of temporomandibular disorders (TMD).

**Material and methods:** Eighty TMD patients were randomly assigned to splint group ( $n = 39$ ) and control group ( $n = 41$ ). The patients were classified in terms of depressive and non-specific physical symptoms as normal, moderate or severe using Research Diagnostic Criteria for Temporomandibular Disorders Axis II protocol. The effect of depressive and non-specific physical symptoms on the intensity of facial pain, as measured with visual analogue scale (VAS) was estimated with linear mixed models. The patients' subjective estimates of the effects of treatment and TMD symptom severity were inquired at 1-year follow-up.

**Results:** At baseline and during the follow-up there were no significant differences in VAS scores between patients in different Axis II subscales. According to the mixed linear regression, depressiveness or nonspecific physical symptoms separately were not significantly associated with the VAS during the study. The association of VAS with depressive ( $p = .073$ ) and nonspecific physical symptoms ( $p = .088$ ) approximated statistical significance. Patients with moderate or severe nonspecific physical symptoms (with pain items) at baseline had more frequently moderate, severe or intolerable TMD symptoms after the treatment compared to those who were classified in normal subgroup.

**Conclusions:** The present study gave some indication of a possible negative effect of depressive and nonspecific physical symptoms (with pain items) on TMD treatment response. However, the results should be regarded as preliminary, and further studies with larger sample size are needed to confirm the results.

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### Introduction

Temporomandibular disorders (TMD) is a collective term that includes problems in masticatory muscles and/or temporomandibular joints (TMJ) and associated structures [1]. The most common TMD signs and symptoms are facial pain, deviations of mandibular movements, limited range of jaw movements, and TMJ sounds (crepitus, clicking). In the Finnish adult population, the prevalence of having at least one TMD sign is 38%, the signs being more common among women than men [2].

The etiology of TMD is considered multifactorial and the term biopsychosocial has been used to describe the complex nature of TMD [3]. Psychological and psychosocial problems, such as somatization and depression, have been reported to associate with TMD [3–9]. Depression and somatization, or 'non-specific physical symptoms', are common among TMD patients; according to studies, 39–75% of TMD patients are depressive and 48–77% have non-specific physical symptoms [8–11]. Moreover, the incidence of TMD is higher among depressive persons than among non-depressive [12].

Typical treatment modalities for TMD are information and counseling, occlusal splint therapy, masticatory muscle exercises, physical therapy and medication [1]. Stabilization splint is the most common occlusal splint used in the treatment of TMD [1]. Although promising results have been reported with stabilization splint therapy, the results are still controversial [13–15]. The heterogeneity of the patient material and the possible role of psychological factors should be taken into account in the treatment [3]. Namely, some studies have shown that psychosocial factors and widespread pain may impair the response to conservative treatment of TMD [16, 17] and increase the risk for chronicity [4]. The Research Diagnostic Criteria (RDC/TMD) Axis II instruments have shown a good reliability and validity for evaluating the psychosocial factors in the background of TMD [18], and their use can contribute to successful clinical decision-making for the management of TMD [19]. The role of psychosocial factors in TMD treatment response has been investigated in a relatively short time interval [20]. The number of studies concerning the effect of psychosocial factors using valid criteria in their assessment on the TMD treatment outcome is scarce.

Additional long-term follow-up studies are therefore needed to investigate the role of depressiveness and non-specific physical symptoms on TMD treatment response.

Earlier, a randomized controlled clinical trial was conducted where the authors found that stabilization splint treatment showed no additional benefit as compared to masticatory muscle exercises alone during a 1-year follow-up from a sample of 80 TMD patients [21]. However, the effect of psychosocial factors on the treatment outcome remained unclear.

The aim of this randomized controlled study was to investigate the effect of depressiveness and non-specific physical symptoms, based on the RDC/TMD Axis II criteria, on TMD treatment response in patients treated with stabilization splint compared to those performing only masticatory muscle exercises.

## Material and methods

### Subjects

The study sample consisted of 80 consecutive patients (18 men, 62 women) who had been referred to the Oral and Maxillofacial Department, Oulu University Hospital, Finland, for diagnosis and treatment of TMD from March 2008 to September 2009 and gave their written informed consent. The inclusion criteria for the study were (1) clinically diagnosed TMD as defined by the Research Diagnostic Criteria for Temporomandibular Disorders (RDC/TMD) [22], (2) at least 20 years of age and (3) lack of any diagnosed general diseases that may affect TMJs or masticatory muscles.

Patients were randomly assigned by a computer into two groups: splint and control group. One of the authors (KS) generated the random allocation sequence and assigned participants to interventions. Patients in the splint group ( $n=39$ ) were treated with a stabilization splint, counseling and masticatory muscle exercises. Patients in the control group ( $n=41$ ) received counseling and instructions for masticatory muscle exercises. The mean age of the patients was 43.2 years (SD 13.3 years) in the splint group and 44.0 years (SD 13.1 years) in the control group. The splint group consisted of 32 women/7 men and the control group of 30 women/11 men. The size of the sample was calculated with power analysis based on the data of an earlier study [23]. Differences in the total score of Helkimo's clinical dysfunction index with a mean of 2 points (SD 3.5) between the groups can be achieved with 80% power (statistical significance  $p < 0.05$ , using  $t$ -test) with the sample size of 39 per group.

A clinical stomatognathic examination was performed at baseline according to the RDC/TMD criteria [22] by a dentist specialized in stomatognathic physiology (KS). The distribution of diagnostic subgroups of TMD among the patients has been presented in the earlier study [15]. The majority of the patients (97.3% in the splint group, 82.9% in the control group) had myofascial pain diagnosis, the proportion of other diagnoses being lower.

### Data collection

The same dentist (KS) conducted all of the follow-up examinations, being unaware of the group status of the patients. Anamnestic data were collected from both groups at the baseline (before treatment) of the study, and the intensity of facial pain was measured with a visual analogue scale (VAS) on a 0 (no pain) to 10 (pain as bad as could be) rating scale. Depressive and non-specific physical symptoms (with pain items excluded or included) scores were estimated at baseline with Axis II questionnaire included in the Finnish version of RDC/TMD [22,24]. Patients were further classified in terms of depressive and non-specific physical symptoms as normal, moderate, or severe, based on the reference values as suggested by Dworkin and LeResche [22].

In the follow-ups (after treatment), the patients' subjective estimates of the effects of treatment were evaluated with a questionnaire using a scale from 1 to 4 (1 = 'very good effect', 2 = 'treatment has helped to some extent', 3 = 'no difference/cannot tell' and 4 = 'symptoms worsened'). Additionally, TMD symptoms after treatment were also inquired with the following options: 'no/not significant', 'mild', 'moderate', 'severe' and 'intolerable'. Data collections (VAS on pain intensity, subjective estimate of treatment response and subjective estimate of TMD symptoms) were performed for both groups 1, 3, 6 and 12 months after the beginning of the study.

### Treatment procedures

After baseline clinical examination, the stabilization splints were made of heat-cured acrylic by the same dental technician. The occlusion of the splint was defined in the centric relation occlusion using wax (Astynax, Associated Dental Products Ltd, UK). The occlusion of the splints was rechecked at follow-up visits.

The patients were instructed to perform a standardized program for masticatory muscle exercises as described by Carlsson and Magnusson [25]. At the beginning of the training program active mouth openings, laterotrusive movements and protrusive movements were performed. The mandible was held in the maximal positions for a few seconds on each movement. Thereafter, these movements were made towards resistance (using the patient's own fingers). After jaw exercises the patients were suggested to open the jaw wide, stretching it with fingers a few times for 10–20 seconds. These movements were repeated 7–10 times per training session, and the sessions were performed 2–3 times per day. The patients received written instructions, and the movements were also demonstrated by the dentist before the treatment, and reprised if necessary. The instructions for masticatory muscle exercises were given by the same dentist (KS) at the first visit. The stabilization splint treatments were performed by two other dentists who were carefully instructed in the treatment method.

**Attrition**

The treatment protocol is presented in Figure 1. Thirty-one patients dropped out of the trial due to non-attendance of the check-ups and, or due to other treatments. Fourteen controls were treated with splint because of their symptoms and need of treatment and were excluded from the group. Fifteen patients (10 patients in the splint group and 5 in the control group) were treated with arthrocentesis of the TMJ during the study; this treatment did not fulfil the criteria for exclusion.

This study was approved by the ethical committee of Oulu University Hospital.

**Statistical analyses**

The mean VAS scores at baseline and during follow-ups were compared between patients with and without depressive or non-specific physical symptoms using one-way ANOVA test. The associations of patients' subjective assessment of the post-treatment TMD symptoms and treatment outcome (at 1-year follow-up) with Axis II subscales were estimated using  $\chi^2$  test. The association of depressive or non-specific physical symptoms (using both as classified based on three subclasses and as continuous variable calculated from total scores) with the VAS was estimated with mixed linear regression, which took into account treatment time (1, 3, 6 and 12 months), age, gender and treatment group (splint versus control group). Analyses were performed using IBM statistics SPSS software version 19.0.

**Results**

At the beginning of the study, the percentages for normal, moderate and severe depressive symptoms were 53.8, 27.5 and 15.0%, respectively. The corresponding percentages were 42.5, 30.0 and 22.5% for non-specific physical symptoms with pain items excluded and 32.5, 36.3 and 27.5% for non-specific physical symptoms with pain items included. There were no significant differences in mean age or distributions of gender or Axis II subscales between the treatment groups (splint vs. control) (Table 1).

At baseline, the mean score and standard deviation (SD) of VAS on facial pain intensity were 5.1 (SD 2.8) in the splint group and 4.6 (SD 2.6) in the control group, the difference is insignificant. At baseline and during the follow-ups there were no significant differences in mean scores of VAS on facial pain intensity between patients in different Axis II subscales (Table 2). The mean of VAS score was the lowest at the 6-month follow-up in most of the Axis II subscales, except in severe depression and moderate non-specific physical symptoms (with pain items excluded) subscales, where the VAS scores fluctuated more during the follow-up (Table 2).

At 1-year follow-up, there were no significant differences between patients' subjective estimates of the effects of treatment or TMD symptoms after treatment and most of the Axis II subscale profiles (Table 3). Patients with moderate or severe nonspecific physical symptoms (with pain items included) had more frequently moderate, severe or intolerable symptoms after the treatment compared to those who were classified in the normal subgroup ( $p = .048$ ) (Table 3).

According to the linear regression, depressive or nonspecific physical symptoms separately were not significantly associated with VAS during the study (Table 4, models 1–3). The association of VAS with depressive ( $p = .073$ ) and non-specific physical symptoms ( $p = .088$ ) approximated statistical significance. The presence of nonspecific physical symptoms with pain items excluded correlated highly with the nonspecific physical symptoms with pain items included ( $c = 0.830$ , Spearman). Therefore depressive and nonspecific physical symptoms were at first included separately in the mixed models and only finally all three together. When depressive and nonspecific physical symptoms (with pain items excluded or included) together were taken into account in the mixed model, their association with VAS was not significant (Table 4, model 4).

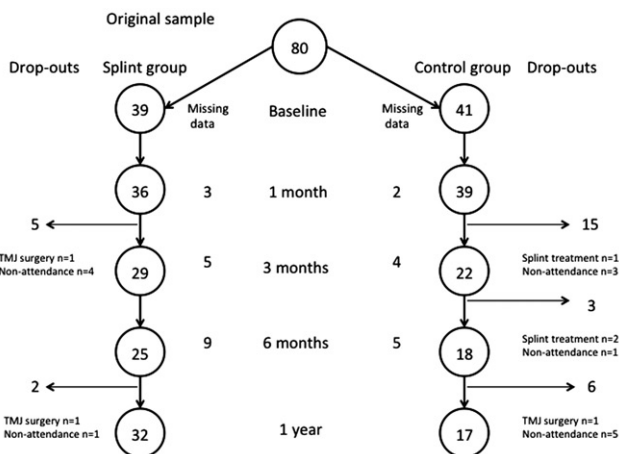


Figure 1. Flow chart of the study subjects.

Table 1. Baseline data of patients with temporomandibular disorders, assigned randomly to splint and control groups.

	Splint group		Control group		p*
	n	mean (SD)	n	mean (SD)	
Age/years	39	43.2 (13.3)	41	44.0 (13.1)	.776
	n	%	n	%	
Gender					
Female	32	82.1	30	73.2	.342
Male	7	17.9	11	26.8	
Depressive symptoms					
Normal	23	60.5	20	51.3	.185
Moderate	12	31.6	10	25.6	
Severe	3	7.9	9	23.1	
Nonspecific physical symptoms (pain items excluded)					
Normal	20	54.1	14	35.9	.204
Moderate	11	29.7	13	33.3	
Severe	6	16.2	12	30.8	
Nonspecific physical symptoms (pain items included)					
Normal	16	42.1	10	25.6	.299
Moderate	12	31.6	17	43.6	
Severe	10	26.3	12	30.8	

Depressive and non-specific physical symptoms were defined based on Research Diagnostic Criteria for Temporomandibular Disorders (RDC/TMD) Axis II subscales [22]. SD: Standard deviation. \*Chi<sup>2</sup> test.

**Table 2.** The mean (SD, standard deviation) VAS (Visual Analogue Scale) values at the baseline and during follow-up according to the depressive and nonspecific physical symptoms (with pain items excluded or included).

	Depressive symptoms				Non-specific physical symptoms (pain items excluded)				Non-specific physical symptoms (pain items included)				p
	Normal	Moderate	Severe	p*	Normal	Moderate	Severe	p	Normal	Moderate	Severe	p	
	Baseline (n = 80)	4.40 (2.50)	5.77 (2.83)	4.67 (2.96)	.147	4.82 (2.74)	4.79 (2.83)	4.94 (2.69)	.983	4.31 (3.08)	5.62 (2.26)	4.41 (2.63)	
1 month (n = 75)	3.17 (2.79)	4.45 (3.00)	3.44 (2.96)	.244	3.84 (3.03)	3.55 (2.70)	3.24 (3.09)	.785	3.00 (2.70)	4.37 (2.90)	3.29 (3.02)	.205	
3 months (n = 51)	2.89 (2.36)	4.13 (2.68)	3.60 (3.05)	.303	3.09 (2.66)	3.73 (2.34)	3.45 (2.73)	.748	2.29 (2.59)	3.94 (2.05)	3.93 (2.76)	.097	
6 months (n = 42)	2.27 (2.31)	2.82 (2.99)	3.57 (3.21)	.524	2.17 (2.26)	3.36 (3.11)	2.73 (2.83)	.506	2.14 (2.57)	3.00 (2.17)	2.86 (3.16)	.205	
12 months (n = 49)	3.04 (2.12)	4.65 (3.43)	1.00 (1.27)	.014	3.15 (2.62)	3.25 (3.15)	3.91 (2.81)	.765	2.53 (2.85)	4.00 (2.83)	3.62 (2.66)	.296	

Depressive and nonspecific physical symptoms were defined based on Research Diagnostic Criteria for Temporomandibular Disorders (RDC/TMD) Axis II subscales [22].

\*Based on ANOVA.

When using Axis II subscales as a continuous variable in the linear regression, their associations with VAS were not significant.

## Discussion

The results of the present study gave some indication that subjects with depressive and nonspecific physical symptoms (linked with pain symptoms) showed poorer treatment response of TMD, compared to those without these symptoms, during a 1-year follow-up, despite the treatment method offered. Although the associations of these psychosocial variables with the intensity of facial pain were not significant, some tendency for the negative effect of these variables was shown. Moreover, based on the patient's subjective estimate, moderate or severe nonspecific physical symptoms (with pain items included) at baseline associated with severe symptoms after treatment, which may partly support the results. The treatment time seems to be associated with VAS decrease, the 6-month follow-up showing the lowest values.

The present study gives new information on the association of psychosocial problems with TMD treatment response, as there exist only a few studies concerning the topic. A recent study by Litt and Porto [16] from 101 subjects with chronic TMD indicated that treatment non-responders accounted for 16% of the sample and they reported more psychiatric symptoms, poorer coping, and higher levels of catastrophizing. Earlier, Raphael and Marbach [17] found in their randomized, controlled clinical trial from 63 women with myofascial face pain that patients with widespread pain who received an active splint did not experience improvement, while patients with local pain who received the active splint did, based on 6-week follow-up. These results along with the present findings suggest that general risk factors related to pain chronicity may have an impairing effect on TMD treatment outcome and thus should be considered in treatment planning.

The present study group comprised more myogenous than arthrogenous patients, of which the former subgroup has shown to be more linked with depressive and non-specific physical symptoms [26]. In the present study, nonspecific physical symptoms linked with pain symptoms had some tendency for an impaired treatment outcome. Studies have shown that somatic awareness and somatization are highly associated with widespread pain and the number of muscle sites painful to palpation [27], chronic myofascial pain [28] and persistent TMD pain [7], thus supporting our results. Somatization may be linked with pain beliefs, which have been shown to be important predictors of treatment outcome and should be considered in the management of TMD patients [29]. Somatizing patients may also be more vulnerable to report symptoms, including facial pain intensity, which may also explain the present findings.

The present study showed that depressive and nonspecific physical symptoms seem to be relatively common among TMD patients, especially tertiary care patients. The study population consisted of special health care patients

**Table 3.** The association of the baseline depressive and nonspecific physical symptoms (with pain items excluded or included) with the patients' subjective assessment of the treatment outcome and the symptoms of temporomandibular disorders (TMD) 1 year after the treatment.

	Treatment outcome, n (%)			TMD symptoms after treatment, n (%)		
	Very good/Helped to some extent	No difference/Worsened	p-value	No/No specific/Mild	Moderate/Severe/Intolerable	p-value
<b>Depressive symptoms</b>						
Normal	21 (58.3)	10 (43.5)	.222	19 (57.6)	12 (46.2)	.193
Moderate	8 (22.2)	10 (43.5)		7 (21.2)	11 (42.3)	
Severe	7 (19.4)	3 (13.0)		7 (21.2)	3 (11.5)	
<b>Nonspecific physical symptoms<sup>a</sup></b>						
Normal	18 (50.0)	8 (34.8)	.516	17 (51.5)	9 (34.6)	.278
Moderate	11 (30.6)	9 (39.1)		11 (33.3)	9 (34.6)	
Severe	7 (19.4)	6 (26.1)		5 (15.2)	8 (30.8)	
<b>Nonspecific physical symptoms<sup>b</sup></b>						
Normal	15 (41.7)	5 (21.7)	.221	15 (45.5)	5 (19.2)	.048
Moderate	14 (38.9)	10 (43.5)		13 (39.4)	11 (42.3)	
Severe	7 (19.4)	8 (34.8)		5 (15.2)	10 (38.5)	

Depressive and nonspecific physical symptoms were defined based on RDC/TMD Axis II subscales [22].

<sup>a</sup>Pain items excluded.

<sup>b</sup>Pain items included.

**Table 4.** The effect of explanatory variables on the intensity of facial pain (VAS) as estimated with linear regression model.

	Model 1		Model 2		Model 3		Model 4	
	β	p-value	β	p-value	β	p-value	β	p-value
<b>Gender</b>								
Male	-1.014	.094	-1.022	.108	-0.691	.278	-0.627	.328
Female	ref.	-	ref.	-	ref.	ref.	ref.	ref.
<b>Age</b>								
	-0.010	.597	-0.011	.564	-0.001	.957	-0.000	.996
<b>Group</b>								
Splint	0.187	.712	0.202	.702	0.417	.416	0.281	.590
Control	ref.	-	ref.	-	ref.	-	ref.	-
<b>Treatment time</b>								
Baseline	1.557	.000	1.531	.000	1.531	.000	1.542	.000
1 month	0.249	.520	0.227		0.229		0.235	
3 months	-0.067	.870	-0.077		-0.067		-0.079	
6 months	-0.748	.088	-0.768		-0.747		-0.747	
12 months	ref.	-	ref.	-	ref.	-	ref.	-
<b>Depressive symptoms</b>								
Normal	-0.235	.073	-	-	-	-	-0.582	.086
Moderate	1.070	-	-	-	-	-	0.853	
Severe	ref.	-	-	-	-	-	ref.	
<b>Nonspecific physical symptoms<sup>a</sup></b>								
Normal	-	-	-0.015	.875	-	-	1.649	.280
Moderate	-	-	0.273		-	-	0.360	
Severe	-	-	ref.	-	-	-	ref.	-
<b>Nonspecific physical symptoms<sup>b</sup></b>								
Normal	-	-	-	-	-0.648	.087	-1.709	.089
Moderate	-	-	-	-	0.741		0.038	
Severe	-	-	-	-	ref.	-	ref.	-

Depressive and nonspecific physical symptoms were defined based on Research Diagnostic Criteria for Temporomandibular Disorders (RDC/TMD) Axis II subscales [22].

<sup>a</sup>Pain items excluded.

<sup>b</sup>Pain items included.

who had suffered from chronic and severe TMD. At baseline approximately half of the patients were classified in the moderate or severe subscales of depressive or nonspecific physical symptoms. These results are in accordance with previous studies [8–11].

In the present study the treatment time showed significant association with facial pain intensity. The mean of VAS score was the lowest at the 6-month follow-up in most of the Axis II subscales, except in severe depression and moderate non-specific physical symptoms (with pain items excluded) subscales, where the VAS scores fluctuated more during the follow-up. The decrease in VAS may partly be explained by normal fluctuation of TMD symptoms, which may be effected by the psychosocial factors. It should be noted that during the first 6 months control visits were

frequent, while during the last 6 months there were no control visits except for the last control (after 12 months). Fewer control visits and thus less contact with the doctor during the last half-year period may have an effect on the symptom report.

The outcome of the treatment was measured using several patients' subjective estimates, which can be considered one of the strengths of the study. Moreover, the RDC/TMD Axis II instruments used in the present study have shown to be reliable and valid for measuring psychosocial status [18,30]. The randomized control study design and a relatively long follow-up period were also strengths of the study. One limitation of the study was a relatively small sample size, which is why the number of patients in separate Axis II subscales remained relatively low and may thus have weakened

the statistical power. Therefore, the results should be regarded only as indicative, and further studies with larger samples are needed to confirm the present findings. Additionally, attrition caused restrictions in the study. In the case of severe symptoms, other treatments were offered and the original group status changed in some cases. Therefore, the time the patients had remained in their original groups was included in the follow-up and taken into account in the analysis. One limitation of the study was related to the additional treatments, as 15 patients received TMJ arthrocentesis. Those patients were not excluded from the study (unlike the patients in the control group who received splint treatment), because the original study design was based on splint vs. control treatment, not the arthrocentesis treatment. Further, it should be noted that the aim here was primarily to investigate the role of psychosocial factors on treatment response, and not merely the effect of distinct treatment methods, which has been reported in the earlier study [21].

The present study gave some indication of a possible negative effect of depressive and nonspecific physical symptoms on the treatment response of TMD during a 1-year follow-up. However, the results should be regarded as preliminary, and further studies with more homogenous materials and larger sample sizes are needed to support or to oppose the present suggestive results. Patients suffering from psychological and psychosocial problems have been shown to benefit from multidisciplinary treatment [3]. Recent studies have indicated that individualized TMD treatment that takes psychosocial factors into consideration should be offered, and rehabilitation rather than cure is preferred for the treatment of complex and chronic TMD conditions [7,10,31]. The present study investigated the effect of psychosocial factors on conservative TMD treatment. In the future, however, additional studies on more customized treatments of TMD based on the patient's psychosocial status are warranted.

Based on the present study it can be concluded that depressive and nonspecific physical symptoms are relatively common among TMD patients. The results gave some indication of a possible negative effect of psychosocial factors on the TMD treatment outcome. The sample size in the present study was quite small, and therefore the results can only be regarded as indicative. Further investigations are needed to clarify the effect of psychosocial factors on the outcome of TMD treatment.

## Disclosure statement

No potential conflict of interest was reported by the authors.

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