REVIEW ARTICLE

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The efficacy of bio-aerosol reducing procedures used in dentistry: a systematic review

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ARSTRACT

Background and objective: Bio-aerosols, are routinely generated and airborne in clinical dentistry due to the operative instrumentation within an oral environment bathed in salivary organisms. SARS-CoV-2 transmission being responsible for the current pandemic, appears through airborne aerosols and droplets, thus, there has been an intense focus on such aerosol-generating procedures, and their reduction. Hence the objective of this systematic review was to evaluate available data on three major measures: rubber dam application, pre-procedural oral rinse, and high-volume evacuators (HVE) aimed at reducing bio-aerosols.

Method: PubMed via Ovid MEDLINE, EBSCO host, Cochrane Library and Web of Science databases were searched between 01 January 1985 and 30 April 2020.

Results: A total of 156 records in English literature were identified, and 17 clinical studies with 724 patients included in the final analysis. Eligible articles revealed the inadequacy of three principle approaches used in contemporary dental practice to minimize such bio-aerosols, rubber dam application, pre-procedural oral rinses, and HVE. The latter is an extremely effective method to reduce bioaerosols in dentistry, although no single method can provide blanket cover.

Conclusion: Present systematic review indicates that employing combination strategies of rubber dam, with a pre-procedural antimicrobial oral rinse, and HVE may contain bio-aerosols during operative procedures.

Introduction

Aerosols can be natural, such as fog, mist, dust, or anthropogenic – created by humans or animals when they speak, sneeze, or cough, for instance. On the other hand, bio-aerosols are aerosols consisting of particles/droplets with live microorganisms [[1,2](#page-10-0)].

Although there are conflicting reports in the literature on the size of the aerosols and how long they are airborne, early researchers have classified particles $<$ 100 μ m in diameter as aerosols, and those $>100 \mu m$ as droplets or 'spatter/ splatter'. The latter usually falls on to the ground immediately, as and when they are expelled. At the same time, the former can be entrained or suspended in the air for considerable periods depending on the humidity, airflow, and temperature of the environment into which they are expelled – for example, a dental clinic or a hospital ward. Similarly, the large diameter droplets with the microbial laden contaminants can taint surfaces in the immediate vicinity and spread a few metres, yet again depending on the ambient conditions such as the airflow [\[3](#page-10-0)].

Humans produce bio-aerosols by talking, breathing, sneezing, or coughing [\[1,4,5\]](#page-10-0), depending on the infectious status of a person, and these may contain fungi, bacteria, or viruses. On the other hand, mechanical devices such as clinic/hospital ventilation systems, air rotors with coolant water spray, used in dentistry may spread bio-aerosols equally efficiently, and engineering strategies need to be implemented to minimize or eliminate the spread [[6,7\]](#page-10-0).

It is also noteworthy that a number of factors such as virulence, the number of infectious particles, and the pathogenicity of the offending microbes, as well as the host immune response, determine the susceptibility of acquiring an infectious agent via a bio-aerosol [8–[10](#page-10-0)].

Compared to the population at large, healthcare workers (HCWs) run a higher risk of acquiring respiratory pathogens by virtue of their profession. This was clearly shown in the SARS epidemic, which led to numerous deaths of HCWs [\[11\]](#page-10-0) and in the current COVID-19 pandemic, where HCWs have disproportionately succumbed to the disease [[12\]](#page-10-0). Thus far, there has been no mortality amongst dental HCWs due to COVID-19, however, they are considered to be the professional group that has the highest likelihood of acquiring the disease due to bio-aerosol generating dental procedures [\[13\]](#page-10-0).

Bio-aerosol generating dental procedures and implications for dentistry

Many interventional procedures are known to aerosolize respiratory secretions in healthcare settings [[6,14,15](#page-10-0)]. Notably, in dentistry, microbial particles are aerosolized by the high-speed handpiece and the accompanying air jet, ultrasonic scaling, air polishing, and air/water syringes [\[14](#page-10-0)]. Unless judiciously controlled, these bio-aerosol generating procedures appear to be one of many intrinsic hazards the profession faces, that has been brought into focus by the current COVID-19 pandemic [\[6](#page-10-0)]. Thus, in a very early laboratory study, Miller et al. [[16\]](#page-10-0) observed that aerosolized microbes generated by high-powered dental drills and periodontal scalers could transmit to around 200 cm distance in the operatory. Indeed, Li and colleagues [\[17\]](#page-10-0), during the post-SARS era, suggested additional measures for bio-aerosol reduction, and concomitant safe dental practice. These include manual scaling, chemo-chemical caries removal, atraumatic restorative technique, open debridement for periodontal surgeries, rubber dam isolation, use of pre-procedure oral rinses, general ventilation, air filtration [[13,17](#page-10-0)].

Although the post-SARS era literature provides useful guidance on aerosol reducing procedures in dentistry, there has been, to our knowledge, no recent systematic review on the reduction of bio-aerosol generation during dental procedures, particularly during the current COVID-19 pandemic. Thus, we aimed to systematically gather evidence of standard precautionary measures which attempt to reduce bioaerosol transmission in dentistry, and reviewed the contemporaneous data of three such major strategies, (i) pre-procedural antimicrobial mouth rinse, (ii) rubber dam application and (iii) high volume evacuation (HVE), used by profession for this purpose,

Methods

Data sources

An electronic data search of English language manuscripts using Ovid MEDLINE, Web of Science, EBSCO host, and Cochrane Library databases was performed by two investigators (LPS and KSF). Published clinical reports were accessed between 01 January 1985, and 30 April 2020. After screening different electronic databases clinical studies on rubber dambio-aerosol; HVE-bio-aerosol; and pre-procedural oral rinse were identified.

A precise review question was formulated using the PICO framework as follows. Does pre-procedural antimicrobial mouth rinse, rubber dam application, and high volume evacuation (HVE) (I), compared with placebo, no mouth antimicrobial mouth rinse/rubber dam application/use of high volume evacuation (C), results in effective microbial reduction (CFU, colony-forming units or percentage reduction) disseminated via aerosol generated during dental procedures (O), in dental patients (male and female) undergoing the dental procedure? (P). The search keywords and combination of the keywords were systematized according to the PICO model [\(Table 1\)](#page-2-0).

Outcome

The key finding of the present review was the systematic assessment of the efficacy of preprocedural mouth rinse, rubber dam application, and use of HVE in reducing bio-aerosols generated via dental procedures.

Study selection

Inclusion criteria

Pre-determined inclusion criteria were (1) English language articles; (2) clinical trials; (3) patients undergoing dental procedures (use of high-speed rotary instruments/ultrasonic scalers/air polishers/triple syringe); (4) paediatric and adult patients; (5) country or date enforced no limitations.

Exclusion criteria

The exclusion criteria included: (1) review articles; (2) studies that did not report a pre-post microbial reduction in bioaerosol; (3) reports presenting incomplete outcome details; (4) recruits (patient) on antibiotics; (5) studies that do not allow extraction of data needed to meet the set study objectives; (6) poster/conference presentation/abstracts, grey literature, and unpublished research information were neither considered nor used.

Electronic data search and analysis

To ensure of systematic and comprehensive method approached, we trailed through the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) guidelines [\[18,19\]](#page-10-0). The search approach employed, and results generated, are presented in [Figure 1,](#page-3-0) and search terms and limits are shown in [Table 1](#page-2-0).

A three-staged, electronic data search and analysis was carried out. In stage one: the titles and abstracts of all relevant clinical trials meeting our set inclusion criteria were screened. In step two: a full-text review of all the pertinent articles was completed, which yielded a comprehensive account of the data. Through the full-text review of the retrieved literature, the investigators used spreadsheets, ensuring that eligibility criteria were met and the reported outcomes were in alignment with the set study objectives. References of the included clinical trials were checked as a backward-search. In stage-three, the reviewers extracted and assessed the data.

Following the full-text review, specific points linked to the characteristics of each included clinical trial were charted following Cochrane standards, which enabled in classifying the setting, study design, and the country. Additionally, sample size, assessment methods, evaluation time, and study conclusions were comprehensively examined. The identified articles were compiled using a bibliographic software tool, Endnote version 9 (Clarivate Analytics, Philadelphia, PA).

Summary of the characteristics of included clinical trials and the reported results, including the microbial counts generated during dental procedures, are provided in [Tables 2](#page-5-0)–[4](#page-8-0).

Table 1. Employed search-terms and limits.

Figure 1. PRISMA flow chart of the literature search and study selection.

Quality assessment and risk of bias

The quality assessment of the eligible clinical studies was performed by two investigators (LPS and KSF) independently. In case, if there was any disagreement, a third or fourth reviewer (CP or BB) were consulted. The Cochrane Collaboration risk of bias assessment tool was used to assess the methodological quality of the study [[20](#page-10-0)]. This included an evaluation of the method of randomization, allocationconcealment, blinding of the outcome assessment, selective reporting, and other sources of biases. Any discrepancies were resolved via mutual agreements reached between the reviewers. The evaluated trials were documented as low, unclear, or high-risk [\(Table 5](#page-9-0)). Studies falling under the highrisk of bias were excluded from the present review.

Results and discussion

A total of seventeen clinical studies (rubber dam-bio-aerosol [\[4](#page-10-0)]; HVE-bio-aerosol [\[6\]](#page-10-0); and pre-procedural oral rinse [\[7](#page-10-0)]) were identified from the data search.

Efficacy of rubber dam in reducing bio-aerosol generated during dental procedures

In total, we assessed data from 108 participants (40 paediatric and 68 adults) enrolled in four clinical trials evaluating the efficacy of rubber dam in reducing aerosols. In almost all experimental settings [21–[24](#page-10-0)], bio-aerosols were generated using high and low-speed rotary dental devices during restorative dental procedures. The adjunct use of an airwater syringe and high-speed rotary instrumentation, either with or without a rubber dam, was also examined by Cochran et al. in one study [[21\]](#page-10-0). Their data showed up to 90–98% efficacy of the rubber dam in preventing the spread of bio-aerosol during dental procedures [\(Table 2](#page-5-0)). Samaranayake and team [[22\]](#page-10-0) also observed an up-to-70% reduction in airborne particles in and around a 1-m diameter of the operational area, consequential to the use of rubber dam; this effect was negligible on cabinetry surfaces located 3 m from the operative site.

In one study, Tag El din and colleagues [[23](#page-10-0)] compared the efficacy of rubber dam with the addition of an oral antimicrobial rinse before the rubber dam application. They reported no significant difference in the organisms reaching a culture plate, placed at a 1-m distance from the operative site, irrespective of the pre-procedural rinse. The reduction in CFUs was 98.8 and 99.4% in the rubber dam group and the antiseptic plus rubber dam group, respectively. Apart from the above, Al Amad and colleagues [[24](#page-10-0)] reported a significant bacterial reduction due to rubber dam use, although the information on colony counts was not presented in their report.

The preceding data from the clinical trials conclusively indicate that rubber dam isolation during operative dental procedures is an effective and efficient bio-aerosol suppressor [\[21](#page-10-0)–24]. The significant advantage of the rubber dam application in this context is the reduction of salivary, serum, and blood contamination of aerosol plumes laced with infectious microbes. In the event, the microbial content of the aerosol can only arise from the biofilms on the tooth surfaces exposed during treatment [[11\]](#page-10-0), thus significantly impacting the overall dental operatory infection control.

Efficacy of high-volume evacuator (HVE) in reducing bio-aerosol generated during dental procedures

Many dental procedures ranging from routine prophylactic ultrasonic scaling and subgingival restorations to periodontal and oral surgical procedures generate copious bio-aerosols [[21,25,26\]](#page-10-0). This has been a major concern, as these procedures are executed in an intraoral niche copiously laced with voluminous amounts of saliva and blood. Hence, HVE is highly recommended to reduce the bio-contaminants originating from the operative site before it becomes bio-aerosols released into an operatory environment [[27\]](#page-10-0).

We evaluated data from 128 patients from four-experimental settings [\[25,28](#page-10-0)–30], that assessed the efficacy of HVE in reducing bio-aerosol generation; all four studies were conducted when restorative dental procedures were performed. All the included reports [[25,28,29\]](#page-10-0), except for Desarda et al. [[30](#page-10-0)], noted a significant reduction in CFU when HVE was used during several dental procedures. The latter group [\[30](#page-10-0)] attributed this divergent observation to the reduced efficacy of the evacuation system they used. In another experimental setting where only two patients were used, Bentley et al. [[31](#page-10-0)] observed an efficient reduction of bio-aerosols when HVE was used in a patient who flossed and brushed before ultrasonic scaling. However, the data quality of this study is weak and somewhat anecdotal due to the low number of enrolled subjects.

The use of high-speed rotary instruments is common during minor oral surgical procedures, with the simultaneous production of splatter and aerosols [\[26,32\]](#page-10-0). In an elegant study Ishihama et al. have demonstrated 'blood mist' carrying potential infectious pathogens in the air of the operatory [\[32](#page-10-0)] and the efficacy of an extra-oral evacuator close to the surgical site, that leads to an immediate reduction of this air plume. In one of the most extensive studies, to date, on the latter subject Yamada and colleagues [[33\]](#page-11-0) reviewed data from 281 patients who underwent oral surgery as well as therapeutic and prophylactic procedures. They tested HVE efficacy, using filters at two differing distances (50 cm and 100 cm) from the patients' mouth. Not surprisingly, they observed that the combined use of two extraoral evacuators was more effective in reducing bio-aerosol, particularly during third molar surgeries. This was even when the second evacuator was placed 100 cm from the patients' mouth.

Another factor that affects the efficacy of the HVE is the distance between the operative site and the suction tip of the evacuator. Two studies [[26,32](#page-10-0)] had documented that HVE, when applied close to the surgical site, was significantly better in obviating visible microbial-laden saliva, blood, and water sprays and spatter produced during dental procedures.

The foregoing conclusively demonstrates the efficacy of HVE in reducing bio-aerosols in the clinic environment, and such efficiency is determined by the suction strength of the appliance [[27,32](#page-10-0)], the proximity of the HVE to the operating site, and the number of HVE used.

Efficacy of a pre-procedural oral rinse in reducing bioaerosol generated during dental procedures

In total, the database comprised 128 patients, and the bacterial content of the dental aerosols generated through ultrasonic scaling and air polishing procedures, either with or without pre-procedural oral rinsing.

Three major and most popular antiseptics used for preprocedural oral rinses are chlorhexidine gluconate (CHX), cetyl pyridinium chloride (CPC), and essential oils, and this practice has been shown to reduce bio-aerosols significantly [\[34](#page-11-0)–39]. Indeed, chlorhexidine and essential oils are effective antiseptics in reducing the load of both the planktonic organisms suspended in saliva, and those residing within biofilms, either on mucosal or tooth surfaces [[6,](#page-10-0)40–[42\]](#page-11-0).

The vast majority, six of seven experimental settings [\[34](#page-11-0)–39], mainly randomized controlled trials indicated a significant bacterial reduction after pre-procedural rinsing with either CHX, CPC, or essential oils. One study [\[43\]](#page-11-0), however, was an exception, as it reported increased numbers as well as bacterial diversity with preprocedural CHX oral rinses, during debonding of orthodontic-brackets using a lowspeed handpiece.

Although a rubber dam can be applied for many operative procedures, it not a realistic option for some treatment modalities such as crown preparations, subgingival restorations, and full mouth prophylaxis with ultrasonic scaling. The

Table 3. Included studies (efficacy of high-volume evacuation - HVE, and bio-aerosol). Table 3. Included studies (efficacy of high-volume evacuation – HVE, and bio-aerosol).

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Table 4. Pre-procedural oral rinse and aerosol.

Table 4. Pre-procedural oral rinse and aerosol.

CHX: chlorhexidine; CFU: colony-forming units; CPC: cetylpyridinium chloride; EO: essential oil. CHX: chlorhexidine; CFU: colony-forming units; CPC: cetylpyridinium chloride; EO: essential oil.

61% reduction

latter method, in particular, is notorious in the literature as an intense, aerosol, and spatter-producing intervention [[44,45\]](#page-11-0). Hence it is not surprising that a vast majority of the clinical investigations we reviewed appertained to this interventional procedure [34–[39,43\]](#page-11-0). These randomized controlled trials (RCT) unequivocally imply that a preprocedural antimicrobial oral rinse efficaciously reduces the number of viable microbes during substantial aerosol-generating procedures such as ultrasonic scaling [34–[39](#page-11-0)]. Nevertheless, a few of these reports had inherent weaknesses. For instance, we noted imprecise information on the allocation concealment, which may lead to inflated estimates of the treatment effect [[46](#page-11-0)]. Moreover, for valid estimates of the effect size of the intervention in RCTs, blinding of the participants and assessors is crucial [\[47](#page-11-0),[48\]](#page-11-0). Yet, the following information was presented only by Fine et al., in their two studies [\[34,35\]](#page-11-0).

Which bio-aerosol mitigating method is superior?

Infective pathogens suspended and entrained in the air can be a source of many infectious diseases [\[1,32\]](#page-10-0). Researchers in almost all of the preceding reviewed studies determined that a significant reduction in bacteria-laden aerosols could be achieved by the three major interventional procedures discussed, viz. rubber dam application, HVE, and preprocedural oral rinses.

Nevertheless, almost all of these workers arrived at this conclusion using the traditional bacteriological culture plate exposure detection methods, which provides an incomplete view of the airborne microbial load. There is, for instance, virtually no data in the literature on the aerosol dissemination of other constituents of the oral microbiome such as fungi, and above all viruses. As the quality of the currently available data on bio-aerosols in dentistry are rather scanty, and wanting, further, rigorously controlled, multi-centre studies to address this important issue of containing contagious broad-spectrum bacterial, fungal, and viral infections that appear to pose a constant infection transmission threat in the dental operatory. It is anticipated that the advent of novel molecular analytical techniques such as next-generation sequencing could redress the situation and shed some light on the all-important viral dissemination that may be associated with dental interventional procedures.

Taken together, there is inadequate data to state the superiority of one method above the others in reducing the generation of bio-aerosols during dental procedures, as all procedures discussed above lead to varying degrees of bioaerosol reduction. In clinical terms, therefore, judicious selection of one or more methods by the clinician depending on the operative procedure in question is critically important. Nevertheless, HVE must be a compulsory requirement during almost all dental procedures. Additionally, the role of extraneous strategies such as engineering controls of the air evacuation processes of the surgery (not discussed here) that are equally important in the reduction of bio-aerosols in the dental clinic environment should be borne in mind when addressing this issue.

Conclusion

Bio-aerosols are generated in clinical dentistry during multiple interventional procedures. The current review summarises three major approaches used in contemporary dental practice to minimize such bio-aerosols, rubber dam application, pre-procedural oral rinses and HVE.

Altogether our review of a total of 17 clinical studies indicates that HVE is an obligatory requirement to reduce bioaerosols in dentistry, while rubber dam application and preprocedural oral rinses must be utilized when opportune. Since all three bio-aerosol reducing measures contribute to reducing airborne bacterial microbes generated during aerosol producing procedures in dentistry.

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Author contributions

LPS, together with KSF and CP performed data collation analysis and drafting the manuscript. BB assisted in manuscript writing and editing. All four authors reviewed and agreed on the final version of the review to be published and are responsible for all aspects of the work.

Disclosure statement

No potential conflict of interest was reported by the author(s).

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