

TREATMENT OF EARLY BREAST CANCER WITH CONSERVATION OF
THE BREAST

A review

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Abstract

This paper reviews the current status of conservative treatment for early breast cancer. While the first patients were treated with such techniques more than 60 years ago, it is during the last decade that randomized trials have confirmed that such treatment is comparable to mastectomy in preventing breast cancer death. Radiotherapy to the breast after local tumour excision is important to prevent local breast relapse, but it is not clear whether it has any influence on the risk of distant metastases. Several questions remain to be answered. While most investigators agree that the breast should receive a radiation dose of about 50 Gy in 5 weeks, there is no general agreement about the need for a tumour bed booster dose. Considering patients with tumour infiltration at the surgical resection line for whom it is not possible for cosmetic reasons to perform re-resection, it is not clear whether an acceptable local control rate can be achieved through application of a high booster dose in the tumour bed. More trials are needed to show whether certain patients with small invasive carcinomas should be treated with wide local excision without radiotherapy. The need for radiotherapy after local excision for small intraductal (ductal carcinoma in situ) cancers is being addressed in ongoing trials.

Key words: Breast cancer, breast conserving therapy, local relapse risk, review.

The term 'breast-conserving treatment' includes techniques by which radical eradication of an early breast cancer (T1-2, N0-1, M0) is achieved without ablation of the mammary gland. Such treatment techniques have attracted widespread interest and recently become accepted alternatives to more radical and mutilating surgical procedures. The possibility of treating limited breast cancers without breast ablation was suggested more than 60 years ago. Hirsch (1) in Berlin and Keynes (2) in London

published their first results in 1927 and 1929 respectively. Mustakallio (3) in Helsinki published his first series in 1945, and the Institute Curie group headed by Baclesse published their results in 1949 (4). The preliminary results achieved by these pioneers suggested that survival after breast-conserving treatment could be similar to that achieved after radical mastectomy. This view gained further support when a follow-up paper from St. Bartholomew's Hospital (5) in 1953 reported long-term survival to be similar among the patients treated conservatively by Keynes and breast cancer patients treated in the same hospital with mastectomy. During the 1960s more authors reported encouraging results with breast-conserving treatment (6-9), and during the last two decades multiple reports have been published:

1. The survival rate and risk of distant metastases following breast-conserving therapy and mastectomy have been compared in randomized trials.

2. Long-term (> 25 years) follow-up reports containing large numbers of patients have provided important information on long-term survival and risk of locoregional failure. These studies also give important information on long-term risks and possible treatment side-effects associated with breast-conserving therapy.

3. Possible risk-factors for locoregional failure have been studied meticulously in an attempt to exclude high-risk patients not suitable for conservative treatment modalities.

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Submitted 26 September 1990.

Accepted for publication 21 December 1990.

Randomized trials comparing risk of distant metastases and the survival rate after breast-conserving therapy versus mastectomy

The question whether breast-conserving therapy is a safe alternative to mastectomy is part of a general discussion concerning the need for radical surgery to achieve optimal local control and prevent distant metastases in breast cancer patients. The aim of the classical radical mastectomy (Halstead) was to remove the tumour-containing breast with its ipsilateral axillary contents and pectoral muscles en bloc, thereby removing all the lymphatic channels connecting the breast and axillary nodes. However, a considerable proportion of patients with axillary node metastases also have subclinical metastases in the ipsilateral parasternal nodes, and this proportion is especially high (40–50%) in patients with medially or centrally located tumours (10, 11). Based on these findings, surgical techniques were invented which incorporated internal mammary node and/or supraclavicular fossa dissection (10, 12, 13). Several randomized trials have compared the possible benefit of such 'supra-radical' techniques with traditional mastectomy (11, 14, 15). Except for a possible small survival benefit related to internal mammary dissection for patients with centromedial tumours and axillary node metastases, treatment with such 'supra-radical' surgical techniques gave no survival benefit compared with the less mutilating therapy. Recent investigators, such as the NSABP-group, considered the extent of axillary lymphatic metastases as merely a marker of tumour dissemination in general, and suggested that local treatment of lymph node micro-metastases has little impact on the risk of a distant relapse (16). Several trials which compared radical mastectomy to simple mastectomy with or without primary radiotherapy revealed no difference in survival rate between the two treatment modalities (17–20). The view of the NSABP investigators gained further support by their findings that

neither axillary dissection nor axillary irradiation for clinical N0 breast cancers gave any survival benefit (18), despite the fact that 25–30% of such patients are known to have microscopic tumour infiltration in one or more axillary nodes at the time of primary surgery (21–23). These findings stimulated interest in less mutilating surgical techniques including breast preservation.

The first randomized trial comparing breast-conserving treatment with mastectomy was initiated at Guy's Hospital in London in the 1960s (24). So far the results from 5 randomized trials have been published (24–28, Tables 1 and 2), and the results of another three trials are expected to be published in the near future (29–31). The results from 3 of the 5 published trials (26–28) and the preliminary results from the unpublished trials (29–31) confirm the theory that patients treated with breast-conservative treatment (limited surgery and radiation therapy) have a similar risk of distant metastases and a similar survival chance as patients treated with conventional mastectomy. Some important differences between these trials should be considered.

In 3 of the 5 trials (not the two from Guy's Hospital) the conservatively treated patients had limited axillary dissection. The Italian (26) and French (27) trials included only patients with T1 tumours. In the first of these trials (26) tumourectomy was conducted by 'quadrantectomy', a more extensive procedure than the 'lumpectomy' used in the other investigations. The NSABP-trial included tumours with a diameter of 4 cm or less, but patients with microscopic tumour involvement of the resection margins (10% of all patients initially treated with lumpectomy) went straight on to mastectomy (32).

The NSABP study (28) contained two breast-conserving arms, one with and one without breast radiotherapy. Thus, this investigation also provides some interesting information about the possible impact of local radiotherapy on the

Table 1

Patient and treatment characteristics in the randomized trials comparing breast-conserving therapy (lumpectomy or quadrantectomy) with or without radiotherapy (RT) with radical (RM) or modified total mastectomy (TM)

Ref. No.	Patients n	T/N	Therapy	Follow-up
24/25	188	T1–3 N0–1b	RM	20 y
	182		lump, RT breast/axilla	
25	130	T1–3a N0–1a	RM	10 y
	122		lump, RT, breast/axilla	
26	349	T1 N0	RM	10 y
	352		quadr, ax.diss, RT breast	
27	91	T1 N0–1b	TM	10 y
	88		lump, ax.diss, RT breast	
28	713	T1–2 N0–1b	TM	8 y
	719		lump, ax.diss, no RT	
	731		lump, ax.diss, RT breast	

Table 2

Results from the randomized trials comparing breast-conserving therapy (lumpectomy or quadrantectomy) with or without radiotherapy (RT) with radical (RM) or modified total mastectomy (TM)

Ref. No.	T/N	Distant-DFS	Survival
24,25	T1-3a N0-1b	No sign. difference	N _{1b} did sign. poorer with lump
25	T1-2 N0-1a	Sign. poorer with lump	Sign. poorer with lump
26	T1 N0	No sign. difference	No sign. difference
27	T1 N0-1b	No sign. difference	No sign. difference
28	T1-2 N0-1b	Lump-RT sign. poorer compared with TM	No sign. difference

risk of distant metastases. The study revealed a slightly, albeit significantly lower disease-free survival (DFS) and distant DFS for pN0 patients treated with limited surgery without radiotherapy compared with patients treated by mastectomy, but there is so far no significant difference in the total survival rate. Patients treated with limited surgery followed by breast radiotherapy had similar DFS and distant DFS as patients treated with mastectomy. This finding may be consistent with the recent results from a Swedish trial comparing sector resection with or without radiotherapy for T1 tumours (33). That trial revealed a non-significant trend toward a better DFS among irradiated versus non-irradiated patients. Such a difference could possibly be due to a lead time bias. A high number of patients treated with breast-conserving surgery without radiotherapy will develop local breast relapses, and it may be anticipated that patients with local relapses are thoroughly staged for possible distant metastases.

The two trials from Guy's Hospital (24, 25) questioned the safety of breast-conserving therapy. As shown in Table 1, these trials included larger tumours than any of the other investigations. The first Guy's Hospital trial (24) reported a similar survival rate as well as a similar DFS rate for stage I patients treated with breast-conserving therapy compared with those with mastectomy. On the contrary, N1b patients treated with breast conservation had a poorer survival rate than those treated with mastectomy. No axillary surgery was performed, and the radiation dose in the axilla (25-27 Gy/12 days) was about half the dose needed to achieve optimal control of macroscopic disease (34). The risk of metastatic spread depends on the amount of tumour tissue present (35). Thus, while the NSABP trial could not document any survival benefit or effect on the risk of distant metastases from prophylactic treatment of microscopic disease (18), it is possible that suboptimal treatment of palpable nodal metastases, leaving a substantial amount of viable tumour cells, may increase the risk of distant metastases. As to the second Guy's Hospital trial, only patients with clinically negative

nodes were enrolled (25). In this trial, contrary to what was reported in the first trial, there was a survival difference in favour of mastectomy for node-negative patients. This result is more difficult to explain, except that it could have occurred by chance. Axillary surgery as well as radiotherapy has been found to have an impact neither on the survival rate nor on the DFS rate in node-negative patients (18), which suggests that the result of the second Guy's Hospital trial was not due to suboptimal treatment of axillary nodes. Nor is there evidence to suggest that it could be caused by suboptimal radiotherapy to the breast. In the two Guy's Hospital trials, all the conservatively treated patients received a breast radiation dose of about 38 Gy in 3 weeks, which gives a CRE value in the same range as that achieved by 50 Gy in 5 weeks.

In summary, these randomized trials provide strong evidence that many early breast cancers may be safely treated by limited surgery and radiotherapy, and it has come to be generally accepted that in many cases breast-conservative therapy may be the treatment of choice (36). Tumours < 4 cm in diameter N0/N1 may be treated conservatively with local excision as long as pathological examination shows the surgical resection line to be free from tumour tissue and the breast receives a radiation dose of about 50 Gy in 5 weeks. N1 patients should have proper axillary management. Notably, these trials do not provide sufficient information to address possible implications of tumour infiltration at the resection lines. Microscopic examination of the resection borders was not performed in these trials except for the NSABP and the French investigation. The French trial was small and included T1 tumours only. This trial could not document any detrimental effect of microscopic tumour infiltration at the resection line on long-term risk of local relapse. With the surgical technique employed in the Italian trial, most patients may be expected to achieve free resection lines. The results from the Guy's Hospital trials are somewhat contradictory and provide little information on the prognosis for stage I breast cancer patients treated with breast-conserving therapy.

Local relapse in the breast following breast-conserving therapy

Breast cancer is from a histopathological point of view often a multifocal disease. Dissection of ablative mammae specimens has confirmed multifocal breast cancer disease in between 13 and 75% of cases (37-42), depending on how meticulously sectioning is done. The ratio between in situ and invasive cancer components differs among the different studies. The clinical implications of non-invasive microfoci are uncertain, as such microfoci are found at autopsy among 15-20% of women with no clinical history of breast cancer (43). Contrarily, invasive foci are found in 1-2% of cases only (43). In women operated on for breast

cancer, microfoci of invasive cancer seem to occur mainly within a distance of 3–4 cm from the primary tumour (44).

The term 'local relapse' usually refers to all tumour relapses within the treated breast, while the term 'loco-regional relapse' also includes the ipsilateral axilla, chest wall and (in some reports) supraclavicular fossa. There are some difficulties involved in comparing the results from different centres, as some studies report the total risk of a local failure independently of whether distant metastases occur, while others include local relapses as first sign of failure only. While most invasive breast tumour relapses appear as palpable tumours, regular mammographic examinations are required to detect *in situ* cancer relapses (45). A particular diagnostic problem relates to Paget's disease of the nipple occurring in a previously irradiated breast. *In situ* ductal carcinoma of the nipple is a frequent finding in mastectomy specimens (46), and such tumour cells may later develop into Paget's disease of the nipple. Paget's disease in patients previously treated with local excision and radiotherapy for breast carcinomas can occur (47, 48), and may easily be misinterpreted as postradiological alterations.

It is well known that a locoregional relapse following mastectomy carries a poor prognosis, as more than 90% of such patients will develop distant metastases and most of them die from their disease within the first decade after relapse (49–54). This is not the case with local breast relapses after breast-conservative treatment. If salvage surgery can be performed, 5- and 10-year actuarial survival after salvage has been reported to be 72–73% and 58% respectively (6, 55). About 90% of the patients with local relapse can be treated by mastectomy or local tumour excision (56, 57). Patients who develop extensive local relapse that cannot be controlled by surgery (58, 59) carry a poor prognosis with rapid development of systemic metastases (58). Second breast relapses in patients treated with salvage limited surgery can in most cases be saved by further surgery (60).

Several reports in the beginning of this decade suggested that breast relapse has little prognostic impact on the risk of distant metastases and breast cancer death (61–64). Later reports, partly by the same authors, reporting long-term follow-up results for a larger number of patients suggested that this may not necessarily be the case. There seems to be a difference between 'early' and 'late' breast relapses, as patients with local relapse within 3–5 years of primary therapy seem to have a higher risk of distant metastases and a poorer prognosis than patients with later relapse (55, 59, 65). The prognosis of patients with late relapse does not seem to be inferior to that for patients with similar primary tumour characteristics but without local breast relapse (55, 59, 66). An increasing number of local relapses are located 'elsewhere' in the breast as time from primary treatment increases (67), but the studies reported so far have not statistically confirmed a different

prognosis for relapses occurring in the primary tumour area or elsewhere in the breast (59).

The prognosis for a local relapse treated by salvage surgery seems to depend on its size, with an excellent prognosis for small relapse (< 3 cm) but with a poorer prognosis for relapse with a diffuse infiltration or dermal involvement (68).

The finding that large and diffuse relapses as well as 'early' relapses carry a poor prognosis may not necessarily suggest any hazard related to breast-conserving therapy. It is well known that large and diffuse thoracic wall relapses following mastectomy carry a particularly poor prognosis (51, 52), and the possibility exists that local relapses in both instances are markers of a particularly aggressive tumour biology. *In situ* relapses bear an excellent prognosis (57).

The prognostic implications of a concomitant axillary relapse or an axillary failure as single first relapse is less clear. Patients treated at different centres may have received different primary treatment of their axillas, as axillary dissection in concert with breast-conservative therapy was not practised routinely in many centres during the early years of conservative treatment (25, 55, 56, 61, 69). The risk of an axillary relapse as first failure with or without a breast relapse has been reported in most series to be less than half the risk of a local breast relapse (57, 59, 70, 71); thus, it is more difficult to assess the prognostic impact of an axillary relapse statistically. While some authors claim that an axillary relapse with or without a breast relapse has no impact on survival as long as local control can be achieved (72), others have found an axillary relapse to have a negative impact on survival chance (59, 70, 71). Metastases in the thoracic wall, supraclavicular fossa or internal mammary nodes imply the same grave prognosis for patients treated with breast-conserving therapy as is seen following mastectomy (2, 57, 61).

Risk factors associated with local relapse: the influence of different treatment modalities

Factors predicting the occurrence of local breast failure have been studied meticulously. So far, however, most studies have been retrospective and it is difficult to assess the influence of each factor separately and especially how the importance of specific risk factors is influenced by the treatment modality. For example, both surgical technique and radiation dose might decide whether a certain histopathological parameter appears as a risk factor or not. Such an interaction could exist between tumour infiltration at the resection margin and tumour bed radiation dose. Surgical technique and radiation dose in the breast could also help to decide whether a large amount of intraductal carcinoma within an invasive tumour predicts an increased risk of local relapse, as this histopathological finding correlates to multifocality (73).

About 5–15% of local relapses occur in concert with distant metastases (55, 59, 68). During the first 5 years following primary treatment most local relapses occur in close relation to the primary tumour site with a yearly incidence of about 2%, declining after 5 years to a yearly actuarial risk of about 0.5% after eight years (67). Contrarily, the risk of developing a tumour 'elsewhere' in the breast increases slowly after primary treatment to reach an actuarial risk of about 1% yearly 5 years after primary therapy, remaining at this level thereafter (67).

Radiation therapy influences the breast relapse rate after lumpectomy. The NSABP-group reported 5-year actuarial local breast relapse rates of 7.7% and 27.9% for patients treated with lumpectomy with or without radiotherapy respectively (32). Non-randomized trials have revealed high local relapse rates in the 20–50% range during the first 3 years posttreatment for patients having limited surgery without radiotherapy for T1/T2 tumours (61, 74–78). This risk seems to be much lower for patients with T1 tumours treated with wide sector resection, for which local relapse rates of about 7% and 10% at 3 and 5 years respectively have been reported (33, 79). However, if radiotherapy is given to such patients they may have local relapse rates as low as 3 and 5% at 3 and 5 years (33, 80). Long-term follow-up reports for patients with T1 and T2 tumours treated with limited surgery with different radiotherapy regimens are given in Table 3. A considerable variation can be seen in the local failure rate. This is not only related to differences in radiation therapy, but could also be related to differences in surgical practice and

patient inclusion criteria. Macroscopically inadequate excision of the tumour seems to be associated with an increased risk of a local relapse, even among patients receiving postsurgical radiotherapy. One study reported a 5-year actuarial risk of breast relapse of about 8% for patients treated with excisional biopsy with radiotherapy, but as high as 36% among patients treated with similar radiotherapy but having 'less than excisional biopsy' surgery (84). Similar results have been reported by others (65). In a randomized trial conducted at the Milan Cancer Institute (84) local failure rate was found to be higher among patients treated surgically with 'lumpectomy' compared with 'quadrantectomy'.

The impact of radiation dose on local relapse rate is difficult to assess. Except for the two studies comparing radiotherapy versus no radiotherapy (28, 33) no randomized studies have compared the efficacy of different radiation doses in preventing local relapses following breast-conserving therapy. Results related to the use of different radiotherapy regimens in different centres, cannot be directly compared as surgical technique as well as histopathological criteria for re-resection may also differ. Often the surgical technique is poorly defined (Table 4). It is well known that the response to radiotherapy depends critically on the tumour tissue burden (34, 86, 87), and the amount of microscopic tumour tissue left in the tumour bed may determine which radiation dose would be necessary to sterilize the area for tumour cells (86). The NSABP-group routinely performed mastectomy in all cases with microscopic tumour infiltration at the lumpectomy resection

Table 3

Radiotherapy and local relapse rate in the largest patient series ^arefers to local relapse as first failure without evidence of previous or concurrent distant metastasis, ^brefers to local relapses occurring before or simultaneously with distant metastasis, and ^crefers to all local failures whether they appeared before or after evidence of systemic disease

Ref. No.	Patients n	Radiotherapy			Local failure rate			
		Whole breast		Tumour bed	5 y	10 y	15 y	20 y
81	585	50 Gy	+	Ir-Implant	2% ^a			
80	1 232	50 Gy	+	10 Gy	4% ^{a,e}	10% ^{a,e}		
62	680	40 Gy ^d	±	5 Gy ^d	11% ^{a,e}	21% ^{a,e}	25% ^{a,e}	
67	597	60 Gy	+	Ir-Implant	10% ^b	16% ^b		
56	1 593	50/60 Gy	+	28/18 Gy	7% ^b	14% ^b	18% ^b	20% ^b
61	436	45 Gy ^d	+	15 Gy ^d	3% ^b	5% ^b		
55	518	57 Gy	+	7 Gy	7% ^b	11% ^b	18% ^b	
82	548	46/50 Gy	+	14/16 Gy	3% ^a			
82					6% ^c			
69	410	50 Gy	+	dose not stated	6–7% ^{c,e}			
33	566	50 Gy			8% ^c			
83	288	48 Gy ^f	+	dose not stated	15% ^{b,e}			
58	263	45 Gy ^d	+	15 Gy ^d	30% ^{c,e}			
65	235	40–85 Gy	(+ about 20 Gy)		12% ^{b,e}			

^d Irradiation given in 2.5 Gy fractions (40 Gy gives a CRE about 1 460, 45 Gy gives a CRE about 1 580. For comparison, 50 Gy in 2 Gy fractions gives a CRE about 1 560).

^e Actuarial relapse rate estimated from data or taken from curves.

^f Fractions of 2.2 Gy.

Table 4*Tumour size criteria and surgical techniques used in the studies given in Table 3*

Ref No.	Tumour size	Breast surgery
81	T1 and T2	'tumourectomy'; 1 cm normal tissue margin
80	T1	'quadrantectomy'
62	T1 and T2	variable
67	T1 and T2	'excisional biopsy' with 'small rim normal tissue'
56	T1 and T2	'tumourectomy'
61	< 25 mm	'excisional biopsy'
55	T1 and T2	'wide excision'
82	T1 and T2	'excisional biopsy', 45% additional re-resect.
69	T1 and T2	'simple lumpectomy' or 'segmental resection'
33	< 40 mm	'segmental mastectomy'
83	T1 and T2	'local excision'
58	T1 and T2	'macroscopic tumour-excision'
65	T1 and T2	'wide excision'

line, and 67% of such mastectomy specimens contained residual tumour tissue (88). Others (89) have found microscopic tumour infiltration at the primary resection line to correlate with residual tumour in 45 and 63% of T1 and T2 tumours respectively. Two different groups reported patients with tumour-free resection lines to have adequate local control following a radiation dose of 50 Gy/5 wks in the whole breast with no booster dose in tumour bed (32, 90). High radiation doses (60–70 Gy) in the whole breast give a poor cosmetic result (69). Contrarily, low radiation doses in the breast (with a CRE-value of about 1 300) may be associated with a high local relapse rate (91). Most centres now practise whole breast radiation to about 50 Gy/5 wks (CRE-value of about 1 560); the controversy is whether a booster dose in the tumour bed may be beneficial. The results referred to above (32, 90) suggest that this problem could be restricted to patients with tumour infiltration at the resection lines. In theory, local control could also be improved for patients with free resection lines, but with a local relapse rate of about 8% at 5 years (32) any possible benefit would be marginal. While the literature may give the impression of a controversy over the importance of free resection lines (62, 92), conflicting results could be due to different radiation doses. Many centres have not performed routine evaluation of resection lines until recently. Considering the studies in which microscopic examination of resection lines were performed, the Marseille group found local relapse rates of 6% and 22% at 5 years for patients with free versus tumour-infiltrated resection lines respectively (92), while others found microscopic infiltration to have no significant influence on the local relapse rate (58, 61, 81, 93). The Nottingham group (58) reported completeness of excision to be without importance for local relapse rate among patients treated with 60 Gy in the tumour bed, but they

reported a remarkably high over all local relapse rate of 30% at 5 years. The Villejuif study recruited only patients with tumours less than 25 mm in diameter (61). They saw a tendency toward a higher relapse rate among patients receiving a tumour bed CRE of less than 1 840, but they did not assess a possible influence of tumour-free resection lines on the local relapse rate among patients receiving a CRE of less than 1 840. In the study from the Netherlands (81) the patients received a boost dose in the tumour bed by ¹⁹²Ir implantation (25 Gy, following total breast irradiation with 50 Gy in 5 weeks). This gives from a biological point of view a high radiation dose (CRE-value 2 200–2 300). The efficacy of such a high radiation dose is shown by its ability to induce complete tumour regression in more than 50% of T2 tumours treated without surgery (94). The technique used by the Marseille group (total breast received 50 Gy in 5 weeks followed by an electron beam boost of 20–25 Gy in the tumour bed) gives a lower CRE-value of about 2 000 in the tumour bed. The finding that a radiation dose of CRE 2 300 may provide a better local control than doses in the 1 700–2 000 range has been suggested by others (65). However, the Philadelphia group (93) found no association between tumour infiltration and risk of local relapse, despite a CRE-value in the breast of 1 750–1 800 (obtained by preoperative ¹⁹²Ir implantation + postoperative external beam irradiation). Others have reported a possible influence on local control of tumour bed radiation doses in the 50–70 Gy dose range (65, 95), but none of these investigators performed microscopic examination of the resection lines.

In conclusion, it seems possible that certain patients with tumour-infiltrated resection lines obtain adequate local control by high dose radiotherapy to the tumour bed (81, 96), but more studies are warranted to address this problem. The question has special implications for patients where free

resection lines cannot be achieved by re-excision, hence the only alternative to radiotherapy is total mastectomy (97).

There are no studies which answer the question whether the lymph node areas should be irradiated or not in breast-conserving therapy. Treatment policy varies among different centres considering both axillary and internal mammary node irradiation, and no randomized trials have been conducted. There is substantial evidence that radiotherapy (including irradiation of the lymph nodes) after mastectomy reduce the locoregional relapse rate but that it does not improve survival (18, 50, 98, 99). It is reasonable to assume that the same should also be valid for patients treated with breast-conservative therapy, but there is no direct proof for this hypothesis.

Recent studies suggest that adjuvant chemotherapy given with radiotherapy reduces local failure rate compared with radiotherapy alone (32, 100), similar to what has been found for mastectomy patients (101).

Risk factors associated with local relapse: clinical and histopathological factors

Histopathological factors predicting distant relapse are similar for patients treated with breast-conservative therapy and mastectomy (102).

Low age has been reported to be (65, 103, 104) or not to be (61, 105) associated with an increased risk of local breast relapse and locoregional relapse (72). This parameter is also related to the risk of a distant relapse and breast cancer death (106, 107).

Several histologic parameters, such as histology, extensive inflammatory infiltration, extensive necrosis, vascular invasion, intralymphatic extension and mononuclear cell reaction (58, 61, 88, 92, 108) have all been related to an increased local failure risk, but these findings are controversial (71, 103, 109). These parameters have variously been related to survival and DFS in patients treated with mastectomy (110–112). Thus, many of these parameters may be markers of an aggressive tumour biology associated with both local and distant relapse risk.

On the other hand, certain risk factors are associated with local breast failure after breast-conserving therapy without seeming to predict distant relapse. The importance of microscopically free margins has been discussed in the previous section, in conjunction with local radiotherapy. A large amount of in situ ductal carcinoma (DCIS) within an invasive carcinoma has been suggested to be a risk factor for local relapse in patients treated with breast-conserving therapy, but was found to have no influence on DFS in mastectomy patients (113). The term 'extensive intraductal carcinoma' (EIC) has been applied to invasive ductal carcinomas where > 25% of the tumour section is occupied by DCIS which infiltrates beyond the macroscopic tumour (114). EIC has been reported to be (81, 108, 114, 115) or not to be (61, 65, 69, 103) a risk factor for local relapse in

patients treated with breast-conserving therapy. Possible reasons for this discrepancy have been discussed (116). As EIC seems to correlate with recurrences in the tumour bed (92), a possible influence of EIC on local relapse rate could depend on the extent of primary surgery but also on the radiation dose in the tumour bed (117). Results obtained by the Marseille group suggest that the increased risk of local relapses among young patients could be related to a high ratio of patients with EIC and/or high histologic grade (92), while the Harvard group (118) found age to be a prognostic factor in itself only partly related to the occurrence of EIC. Dissection of mastectomy specimens has revealed EIC to be correlated to multicentricity, particularly to DCIS-foci elsewhere in the breast tissue (73). In patients treated by re-excision following primary lumpectomy, the finding of residual tumour tissue was significantly correlated to the finding of EIC in the primary tumour (119).

Ductal and lobular breast carcinomas have different biological properties with different metastatic patterns (120, 121). Patients with infiltrating lobular carcinoma have been reported to have a 5-year actuarial risk of local failure of about 12–14%, (122, 123), which is in between the local relapse rate for patients with ductal carcinoma with (23%) or without (5%) EIC treated in the same centres (122). Only a small number of medullary and colloid breast cancers treated with breast-conservative treatment have been reported. So far no evidence suggests an increased local relapse rate for any of these tumour forms (108, 123). Paget's disease may be treated with limited surgery and radiotherapy or radiotherapy alone with an acceptable local control rate (124).

Concerning the influence of T- and N-category on local relapse rate, some authors found an influence of tumour size (T1 versus T2) on the risk of a local relapse (58, 65, 69, 88), while others found tumour size to be without influence (70, 81, 82, 103, 108, 125) or important only for patients treated with surgery without radiotherapy (91). A significant influence of node stage (pN0 versus pN1) has been reported by some investigators (58, 65, 70, 108, 125) but questioned by others (61, 82, 103). There is a high risk of local relapse following breast-conserving therapy if two or more separate carcinomas occur concomitantly in the same breast (126).

Cosmetic results after breast-conserving therapy

Most patients treated by breast-conserving therapy are satisfied with the cosmetic result (127, 128). They have been reported to have a somewhat better 'body image' than patients treated with mastectomy, but the difference is not large (129–131). Fear of relapse was found to be no higher among breast-conserving-treated patients than among mastectomized patients (130). When cosmetic results are evaluated, it is of importance to know that certain complications, such as telangiectasias and breast

retraction, develop slowly over the years (132, 133). Breast retraction has been reported to be the most disturbing cosmetic problem in the long run (134). The risk of a poor cosmetic result depends on the radiation dose delivered, and whole breast radiation doses of 60 Gy or more or skin doses above 50 Gy have both been related to a poor cosmetic result (132, 135). Interstitial irradiation with small implants has no negative impact on the cosmetic result, while larger implantation volumes have been found to impair it (133). Tumour size itself may be of minor importance, but the total amount of tissue excised seems to influence the cosmetic result (133, 136). Recently, surgical techniques of quadrantectomy versus lumpectomy were compared in a randomized trial, and the former technique was found to produce a significantly poorer cosmetic result (85). Radiation treatment of adjacent fields (axilla, supraclavicular fossa) (133) may also impair long-term cosmetic results, due to tissue retraction. Simultaneous radiotherapy and adjuvant chemotherapy seem to have a negative impact on the cosmetic result while sequential application of the same treatment modalities seems to have little influence (134).

Risk associated with radiation therapy after lumpectomy

Ionizing radiation is carcinogenic. Even low radiation doses used for benign breast conditions, especially when applied early in life, are known to increase the risk of breast cancer (137). The dose in the opposite breast during breast-conservative radiotherapy may be as high as 3–10% of the dose applied to the treated breast (138, 139). Evidence so far, however, suggests that radiotherapy in breast-conserving treatment (139) similar to radiotherapy after mastectomy (140, 141) does not significantly increase the risk of contralateral breast cancer. A few cases of angiosarcomas in irradiated breasts are described (142–144); this unusual complication has been related to radiotherapy as well as to postmastectomy lymphedema (145). The long-term risk of contralateral breast cancer has been found to be about 1% a year postmastectomy irrespective of whether radiation therapy was given or not (140). During the first 5 years after breast-conservative irradiation the chance of a new ipsilateral breast cancer is about half the risk of a contralateral one (59), after which the risk seems to be about 1% a year for each (143, 146). However, long-term follow-up studies are needed to assess whether this would also be the case with relatively young patients living for several decades following their treatment. So far there is no evidence of an increased risk of other malignancies after breast-conserving treatment with radiotherapy (139). However, more long-term studies are needed to address this question, bearing in mind that radiotherapy given to mastectomy patients in some services has been found to increase mortality more than 10 years posttreatment (147).

The most frequent benign complications after mastectomy are arm oedema and shoulder joint stiffness. These complications are related to the extent of axillary surgery and radiotherapy (148–150). Evidence so far suggests the same risk factors for these complications among patients treated conservatively (151).

Breast-conserving therapy for intraductal and intralobular carcinomas in situ

Intraductal carcinoma (DCIS) is the most frequent form of non-invasive breast cancer. It has been reported to account for 2–5% of all malignant breast tumours detected clinically as a lump in the breast (123, 152, 153), but 10–16% of malignant breast tumours diagnosed by mammographic screening (154, 155). The disease is often multicentric (156–158), and careful histopathological examination is required to exclude occult microinvasion (157, 158). There is no general agreement about treatment of this disease; mastectomy (157), as well as limited surgery with (159) or without (160) radiotherapy, has been used. After limited surgery without radiotherapy, a high local relapse rate (about 20–60%) has been reported (153, 160–165), about 50% of the relapses being invasive cancer (161, 162, 164). However, most series mainly contain clinical palpable tumours with a diameter of several cm, and the risk of occult invasion as well as multicentricity depends on tumour size (157). Contrarily, mammography can detect small, non-palpable intraductal carcinomas with micro-calcifications, and for such tumours local excision without radiotherapy may provide acceptable local control rates (146). The problem is currently being addressed in Danish (166) as well as Norwegian and Swedish multicentre studies. The survival rates for DCIS are excellent. The chance of lymph node metastases is negligible as long as no sign of microinvasion occurs (167), and the 5-year disease-free survival is between 95 and 100% (159, 168–170). While there is little doubt that the main hazard relates to an ipsilateral relapse, there is considerable variation among different reports concerning the risk of synchronous as well as metachronous contralateral non-invasive and invasive tumours (165, 171–175).

Intralobular cancer in situ does not as a rule produce palpable tumours itself, and is usually found coincidentally with benign lesions at biopsy (176). The disease is multicentric in about 50% of cases (156) and about 1/3 of the patients have contralateral synchronous non-invasive lesions (177). Long-term follow-up studies in patients treated with excisional biopsy suggest a risk of later ipsilateral invasive cancer of 5–15% at 10 years but possibly as high as 20–40% after 20 years (165, 176, 178–180). However, the risk of cancer in the contralateral breast is nearly as high as the risk of an ipsilateral tumour (176, 178). Thus, apart from local excision there is no sound rationale for aggressive surgery or radiation therapy in this disease.

Concluding remarks

Breast-conserving therapy seems to be a safe procedure for treatment of certain cases of early breast cancer, but more follow-up studies are needed to finally assess long-term results and possible hazards related to this therapy. Results from randomized trials confirm that breast-conserving treatment by limited surgical excision followed by radiotherapy is as safe as mastectomy in preventing distant relapse and breast cancer death for unifocal T1 and T2 tumours up to a diameter of 4 cm. Limited surgery without irradiation is only warranted in trials evaluating the importance of radiotherapy for small tumours. Axillary surgery (node sampling or axillary dissection) should be done for proper staging, but there is no general agreement concerning the need for axillary or parasternal node irradiation. To address these questions is an important target for further studies in the field. More studies are needed to assess the possible influence of the tumour bed radiation dose on local control for patients with tumour infiltration at the surgical resection line.

ACKNOWLEDGEMENTS

This work was supported by grants from the Norwegian Cancer Society. The author wants to thank his colleagues, professor S. Kvinnsland at the Department of Oncology, University Hospital of Trondheim, and dr J. E. Varhaug, Department of Surgery, Haukeland University Hospital of Bergen for valuable criticism during the preparation of this manuscript. I want to thank Mr. R. Hafslund, senior physicist, Department of Radiophysics, Haukeland University Hospital for kindly calculating the cumulative radiation doses (CRE). The skillful secretarial assistance of Mrs. H. Hjortung during the preparation of this manuscript is highly appreciated.

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