CASE REPORT

SAFETY AND TOLERABILITY OF STRENGTH TRAINING IN SPINAL AND BULBAR MUSCULAR ATROPHY: A CASE REPORT

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Objective: Spinal and bulbar muscular atrophy is characterized by slow-progressive muscle weakness, decreased functional performance and falls. Research into the use of exercise in spinal and bulbar muscular atrophy has shown equivocal to negative results, although authors suggest that patients with spinal and bulbar muscular atrophy may benefit from both increased exercise intensity and shorter bout duration. The aim of this case report is to explore the safety of a moderate intensity strength training programme coupled with dynamic balance and function-specific training in a patient with spinal and bulbar muscular atrophy.

Case report: A 56-year-old man with spinal and bulbar muscular atrophy presented with multiple falls and declining performance in physical, vocational, and recreational activities. Examination revealed several musculoskeletal impairments that were sub-clinical to mild compared with an SBMA natural history cohort.

Intervention and outcome: A 15-week moderate intensity exercise programme combining weight-lifting and functional exercises was performed under clinical supervision. Exercise volume, frequency and intensity were adjusted based on patient-reported outcomes and muscle damage blood markers. Performance-based and self-reported functional improvements occurred that exceeded the minimal clinically important difference. The intervention was well tolerated and the patient nearly doubled his baseline 10-repetition maximums for weight-lifting exercises.

Conclusion: Exercise therapy combining weight-lifting and upright functional training led to meaningful performance improvements in this case of a patient with spinal and bulbar muscular atrophy and relatively low disease burden.

Key words: motor neurone disease; spinal and bulbar muscular atrophy; weight lifting; neuromuscular disease.

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Spinal and bulbar muscle atrophy (SBMA), also known as Kennedy’s disease, is a rare, progressive neuromuscular disease caused by polyglutamine expansion of the androgen receptor gene on the X chromosome. Dysarthria, muscle
weakness and cramping, sensory and balance disturbances may emerge in the 5th or 6th decade of life, presenting with decline in physical function, frequent falls, need for gait-assistive devices, and increased caregiver support (1). SBMA is considered a slowly progressive disease, and men can gradually lose approximately half of their peak strength (2) and are frequently advised to avoid strenuous physical activity and high-resistance exercises, such as weight-lifting. This advice stems from dated research limited primarily to muscular dystrophies (3, 4). However, recent research suggests that people with muscular dystrophies can tolerate and benefit from supervised, resistance exercise (5–7). Sheikh & Vissing describe a generally positive response to moderate intensity strength training in people with various muscle diseases, but a comparatively muted response for those with motor neurone diseases, such as SBMA (8). SBMA appears to share pathophysiological features of both motor neurone and myopathic diseases, as a toxic gain in function is seen in both motor neurones and muscle cells (9).

People with SBMA experience fatigue and reduced exercise tolerance to sustained cycling, but appear to better tolerate and benefit from infrequent, shorter duration high-intensity cycle training (10, 11). The authors surmise the shorter duration may reduce neuronal fatigue, and suggest that higher intensity strength-training programmes be explored as well for people with SBMA (9). To date, only 1 strengthening exercise randomized controlled trial (RCT) is available to help guide exercise prescription in SBMA. The study compared upright functional body-weight exercises with resistance bands with a control group who performed stretching only. Individuals with higher baseline function improved their activity level compared with the control group (measured via accelerometers over 10-day periods at baseline and 12-week follow-up), but functional capacity improved only in those with lower baseline function (12). The authors intended to promote moderate exercise intensity by initially prescribing up to 70% of baseline repetitions completed in 30 s and slowly increasing the intensity. However, the exercise group reported lower than expected ratings of perceived exertion, indicating moderate intensity may not have been achieved for some. The authors speculate the programme intensity may have been too low, especially for higher functioning individuals with SBMA.

This case report aims: (i) to explore the safety and tolerability of moderate intensity resistance exercise, including weight-lifting, coupled with upright functional and balance exercises for a high functioning man with SBMA; and (ii) to identify objective and self-reported measures that capture motor deficits and improvements.

CASE REPORT

Patient history and systems review

The patient was a 56-year-old man, working 50 h per week as a police officer. His medical history was significant for left-sided decompressive spine surgery at age 49 years to relieve L5 nerve root compression radiculopathy, which partially resolved lower extremity weakness and resulting foot drop. The patient was capable of independent community ambulation, self-care, and daily living activities, but reported progressive balance difficulties when walking on uneven terrain. He also reported difficulties ascending 2 flights of stairs consecutively and a considerable decline in his ability to lift weights, which was an occupational requirement. He was diagnosed with SBMA at age 54 years following a muscle biopsy and genetic testing. Genetic testing found 41 CAG repeats in the androgen receptor gene, where 36 is the minimum for diagnosis and 47 is mean for those with SBMA. The patient provided informed consent to participate in this study, which was approved by the National Institutes of Health Institutional Review Board (NIH IRB).

The patient attributed most of his physical difficulties to perceived upper and lower body weakness and was referred to rehabilitation services by his NIH neurologist. He had performed a self-directed functional exercise programme for several months that he reported was not sufficiently challenging. Concerned about passing his annual occupational physical examination, the patient requested guidance in safe weight-training to maintain his strength, functional performance and fitness. He planned to retire soon and his primary goal was to transition to an active outdoor lifestyle with his 2 teenage sons.

Examination

The patient was jointly evaluated by a physical therapist and a physiatrist with experience treating individuals with SBMA. Initial examination did not reveal considerable muscle weakness or functional limitations. He achieved the maximum score of 45 on the Adult Myopathy Assessment Tool (AMAT), indicating relatively high function. Manual muscle testing (MMT) revealed normal 10/10 strength for all major muscle groups, except bilateral intrinsic hand muscles, and left ankle dorsiflexion scoring a grade 9/10 (13). Full active range of motion was observed throughout all joints. He was able to maintain single limb and tandem stances for ≥10 s bilaterally. In addition, he completed 615 m, or 90% of his predicted distance on a timed six-minute walk test (T6MWT) while wearing a left posterior leaf spring ankle foot orthosis (AFO) (14, 15).

Studies have shown that MMT has lower sensitivity than maximal voluntary isometric contraction (MVIC) dynamometry to detect muscle weakness in people with neuromuscular diseases (16, 17). This is especially true for the large and strong hip, thigh, and calf muscles. Given that weakness was the patient’s primary complaint and is a prominent feature of SBMA, this study aimed to examine strength with quantitative muscle assessment (QMA). The MVIC for 7 bilateral muscle groups was measured with a system consisting of a fixed-frame dynamometer (AEVERL Medical, LLC, Gainesville,
Table I. Quantitative muscle assessment (QMA) strength measurements. The patient’s strength values are presented as a ratio (actual/predicted) using normative maximum voluntary isometric contraction (MVIC) databases of healthy men considering age, height, and weight. Initial and follow-up measurements were taken at baseline prior to training and 16 weeks later. The change in MVIC is presented in kg for improved interpretation.

<table>
<thead>
<tr>
<th>Muscles</th>
<th>Left Initial (%)</th>
<th>Left Follow-up (%)</th>
<th>ΔMVIC (kg)</th>
<th>Right Initial (%)</th>
<th>Right Follow-up (%)</th>
<th>ΔMVIC (kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grip</td>
<td>85</td>
<td>82</td>
<td>−1.2</td>
<td>93</td>
<td>92</td>
<td>−0.4</td>
</tr>
<tr>
<td>Shoulder abduction</td>
<td>75</td>
<td>66</td>
<td>−2.1</td>
<td>72</td>
<td>65</td>
<td>−1.7</td>
</tr>
<tr>
<td>Elbow flexion</td>
<td>101</td>
<td>97</td>
<td>−1.0</td>
<td>89</td>
<td>87</td>
<td>−0.6</td>
</tr>
<tr>
<td>Ankle dorsiflexion</td>
<td>36</td>
<td>40</td>
<td>1.2</td>
<td>88</td>
<td>78</td>
<td>−2.6</td>
</tr>
<tr>
<td>Ankle plantarflexion</td>
<td>58</td>
<td>93</td>
<td>14.2</td>
<td>62</td>
<td>106</td>
<td>17.7</td>
</tr>
<tr>
<td>Knee extension</td>
<td>114</td>
<td>93</td>
<td>−10.0</td>
<td>108</td>
<td>113</td>
<td>2.3</td>
</tr>
<tr>
<td>Hip extension</td>
<td>75</td>
<td>73</td>
<td>−1.7</td>
<td>88</td>
<td>113</td>
<td>19.7</td>
</tr>
</tbody>
</table>

GA, USA) and load cells (Interface, Scottsdale, AZ, USA) with computer-assisted data acquisition. Strength values are presented in Table I as a comparison to normative data, which account for sex, age, weight, and height (18–20). Objective motor weakness was revealed at the bilateral ankles, shoulders and hips that were not detected by MMT (Table I).

The initial clinical impression was that the patient appeared to be in good physical health based on common rehabilitation screening tests. However, these results did not align with his complaints of loss of function and weakness. Objective MVIC strength testing revealed impaired strength in 6 out of 14 tested muscle groups, which could help explain his symptoms. This prompted further examination, including additional tests and measures, not yet used in SBMA research, but proven valid and reliable in other populations, to better detect any sub-clinical physical impairments.

Table II shows the patient completed a timed 30-s sit-to-stand (STS) test with a total of 10 repetitions, placing him in the 10th percentile of older, healthy adult men aged 60–64 years (21). He self-reported reduced vitality on the Fatigue Severity Scale (FSS) with a score of 28/63, where scores above 36 suggests further medical evaluation is needed (22, 23). He selected and ranked climbing 2 flights of stairs and raking the yard as difficult (5/10) and using a screwdriver above his head as less difficult (8/10), on the Patient-Specific Functional Scale (PSFS) (24). He scored 52/80 on the Lower Extremity Functional Scale (LEFS) and 19.2/100 on the Disability of Arm, Shoulder, and Hand index (DASH), revealing mild functional deficits (25, 26).

Objective forceplate-based balance testing was performed on a NeuroCom Smart EquiTest System (previously Natus Medical Inc., Seattle, WA, USA) and results were compared with an age-referenced normative dataset provided by the manufacturer. Quiet stance tests included the modified Clinical Test of Sensory Interaction on Balance (mCTSIB) and motor control test (MCT). Dynamic balance tests included the Limits of Stability (LOS), and Forward Lunge (FL) tests. Test descriptions can be found in Nashner 1997 (27). The mCTSIB revealed normal use of sensory input to control balance (Fig. 1), which appears to be atypical in individuals with SBMA and may relate to his relatively new onset of symptoms and high functional level (28). However, his preferred Center of Gravity alignment (COG) alignment was abnormal (43% forward of his theoretical limit of stability) during quiet standing. MCT, which tests reactive postural control, identified delayed automatic postural corrections forwards and backwards. LOS testing (intentional weight shifting towards 8 targets) revealed reduced maximal and endpoint excursions (Fig. 2). Other LOS parameters were within healthy reference ranges, including reaction times, movement velocities, and directional control and are not reported. FL testing did not reveal deficits.

While the patient’s strength was relatively preserved, he showed impairments in peak muscle strength, function and balance, which explained his subjective complaints. A rehabilitation plan was therefore devised with moderate-intensity exercises that incorporated weight-lifting, upright body-weight function training, and balance training. His relatively low disease burden coupled with the medical teams’ familiarity with SBMA and ability to closely monitor his response to care, in a research-hospital setting, provided a unique opportunity to explore this intervention.

Exercise programme

Physical therapists designed a 15-week moderate-intensity exercise programme that included elements of previous SBMA functional exercise research, the

Table II. Baseline and follow-up outcomes at 16 weeks for functional performance. The Fatigue Severity Scale and Disability of Arms, Shoulders, and Hands are both self-reported tools where higher scores correlate with functional impairment and warrant further clinical investigation. The Lower Extremity Functional Scale and Patient-Specific functional Scale are both self-reported tools where lower scores correlate with functional impairment and warrant further clinical investigation. The 30-s Sit-to-Stand is a functional performance examination whose range of results is dependent on physical capacity.

<table>
<thead>
<tr>
<th>Functional Reports</th>
<th>Range</th>
<th>Baseline</th>
<th>Follow-up</th>
<th>MCID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fatigue Severity Scale</td>
<td>9–63</td>
<td>28</td>
<td>9</td>
<td>-</td>
</tr>
<tr>
<td>30-s Sit-to-Stand (repeats)</td>
<td>10</td>
<td>15</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Lower Extremity Functional Scale</td>
<td>0–80</td>
<td>52</td>
<td>67</td>
<td>9</td>
</tr>
<tr>
<td>Patient-Specific Functional Scale</td>
<td>0–100</td>
<td>19</td>
<td>11</td>
<td>10</td>
</tr>
</tbody>
</table>

MCID: minimal clinically important difference.
American College of Sports Medicine Guidelines for Strength Training and the Physical Activity Guidelines for Americans (12, 29, 30). The programme combined free-weights, a global gym multi-station apparatus, balance and functional exercises that could be performed supervised in the National Institute of Health Clinical Center’s gym once a week and unsupervised at an independent health club twice per week. The strengthening exercises targeted the upper and lower body muscle groups with overhead presses, chest presses, arm flies, latissimus pull-downs, bent-over rows, 30-s prone forearm planks, and double-limb knee extensions. Function-specific exercises included single-limb standing, repeated sit-to-stand, squats, step-ups, and lunges that targeted upright postural control and dynamic balance.

Several measures to ensure patient safety were implemented. His response was monitored during and following each on-site exercise session with a symptom checklist recording the presence of muscle cramps, fatigue, perceived recovery from the previous session and adverse events (i.e. falls, chest pain, shortness of breath, joint pain, and dizziness). The patient completed the OMNI rating of perceived exertion (RPE) for each exercise, where 0–2 was
very easy, 3–4 was somewhat easy, 5–6 was somewhat difficult, 7–8 was very difficult, and 9–10 was so difficult that I need to stop (31). He also rated muscle soreness in response to the intervention within 48 h after training, using a scale where 0 was no soreness, 1–3 was mild soreness, 4–6 moderate soreness, and 7–10 was severe soreness. In addition, a neurologist evaluated blood levels of creatine kinase (CK), lactate dehydrogenase (LDH), and insulin-like growth factor (IGF-1) at the start of the programme and as needed if the patient reported increased soreness or lacked exercise progression.

**Training initiation**

Training programme details are outlined in Table III. To ensure moderate intensity, a preliminary training session determined the initial training weight by 10 repetition maximum (10RM). If our patient was unable to perform 10 repetitions of any exercise, the load was decreased. Physical therapists adjusted the exercise volume (sets and repetitions), frequency (sessions per week), and intensity (load), with the intention that he would report a RPE of 6/10 (somewhat difficult) and soreness rating at or below 3/10 (mild soreness) throughout the programme. Squats, step-ups, lunges, and planks were initially completed at body weight only.

**RESULTS**

Training progression

The patient attended 14 of 15 supervised sessions and 20 of 24 unsupervised sessions, missing 5 sessions total for personal and work-related reasons. It was decided to
increase exercise volume, frequency, and load separately to help identify his tolerance for each. Exercise volume was increased initially at week 4 from 1 to 2 sets per session, and frequency was then increased 3 weeks later from 2 to 3 sessions each week. Exercise load was increased carefully and gradually from weeks 8 to 15: global gym double-knee extension increased progressively from 18.1 to 31.8 kg; other global gym exercises increased progressively from 22.7 to 36.3 kg; free-weight exercises increased from 6.8 to 11.3 kg each; squats included two 9.1 kg free-weights; planks and single-leg balance were increased from 30 to 60 s. After checking CK levels and the symptom checklist as training progressed, single-limb balance exercises were added to the programme at week 7. At week 12, free-weights were added to the squats.

Safety monitoring

During the 15-week training programme, the patient’s CK levels ranged between 551 and 989 U/L, a range well within expected values in non-exercising men with SBMA (12). His LDH (168–192) and IGF-1 (91–115 U/L) levels were within a healthy range (2, 12). The patient reported appropriate recovery and no adverse events throughout the intervention programme. He further reported no fatigue immediately after the intervention concluded. From weeks 1 to 7, his mean perceived exertion was 3.5 and soreness was 1.6, while increasing exercise volume and frequency. From weeks 8 to 11, he reported mean exertion of 3.6 and soreness of 2.9, while beginning to increase exercise load. From weeks 12 to 15, his mean exertion was 5.1 and soreness was 1.6 as load was further increased.

Strength

MVICs for all muscle groups at follow-up are presented in Table I as a percentage of predicted. The post-training QMA assessment revealed a full-body muscle strength increase by 34 kg, from 84% to 88% of predicted strength. Strength increased in lower extremities by 41 kg, from 83% to 93% of predicted, most of which came from bilateral plantarflexion and right hip extension increases. The upper extremity decreased by 7 kg, from 81% to 77%.

Functional performance

At the end of training the patient continued to achieve the maximum AMAT score, and his forward lunge dynamic
balance parameters remained within normal limits. He walked an additional 5 m on the T6MWT, but this was not clinically significant. The timed 30-s STS performance improved from below the 10th to nearly the 50th percentile for age-matched healthy men, exceeding the minimally clinically important difference (MCID) (Table II) (32). He improved beyond the MCID for LEFS and 2 of his 3 selected PSFS tasks, except for screwdriver use overhead. His follow-up DASH improved, but did not quite reach the MCID. Finally, the patient self-reported that fatigue no longer impacted his daily activity, with the lowest possible rating of 9 on the FSS.

**Balance**

At follow-up, the patient’s sway velocity had reduced by 36% in the most difficult condition of the mCTSIB (standing on foam with eyes closed), which indicates improvement using sensory information for postural control (Fig. 1). His preferred COG alignment remained anterior, but improved from 43% to 31% of his theoretical LOS (Fig. 1). His automatic postural responses on the MCT remained abnormally delayed. He improved the LOS endpoint and maximal excursions in almost all directions, but most dramatically backwards (Fig. 2). Changes in FL were not observed.

**DISCUSSION**

*Moderate intensity exercise, including weight lifting, was well tolerated*

To our knowledge, this is the first report detailing weight-lifting techniques as an intervention for a high-functioning patient with SBMA. He safely performed a 15-week exercise programme, combining weight-lifting, dynamic balance and function-specific exercises, while avoiding muscle injury. No adverse symptoms were reported, and the OMNI rating scales of perceived exertion and soreness ensured that training eventually reached moderate intensity with minimal muscle soreness. Physiological markers, such as CK, LDH, and IGF-1 values, were not elevated beyond the reference ranges observed in patients with SBMA. Importantly, the patient did not report post-exertional fatigue with this hybrid resistance and functional training programme, as was seen in a study of aerobic training in people with SBMA (10).

*Safely dosing weight-lifting for a person with SBMA*

Very few published works were available to help guide us in dosing the exercise intervention. The initial training loads were determined with a 10RM technique because similar variations have been safely used in previous strength-training studies for groups with heterogeneous neuromuscular disease (4, 33). A 1-repetition maximum technique was considered, but not used due to risk of muscle injury. Despite acquiring quantitative MVIC data for 7 muscle groups, these laboratory-derived single-joint isometric muscle actions were not representative of the compound isotonic weight-lifting techniques and body-weight functional exercises. Therefore, it was decided not to dose the intervention on MVIC data.

The patient reported moderate isolated quadriceps soreness during the first 2 weeks of training. As a result, the global gym knee extension volume and frequency were not increased at the same rate as other exercises. In addition, lunge depth was reduced during this time, while acceptable CK values were ascertained. Our experience treating individuals with SBMA informed us that quadriceps muscles are often the most affected lower extremity muscle group and can be initially challenging to train due to soreness (2). With close monitoring, the patient eventually increased the global gym double-limb knee extensions to 31.8 kg, 75% more than his initial 10RM load, and performed full-depth lunges each at the same volume and frequency of other exercises. Improvements in quad strength and quad dominant functional exercises appeared to lag behind those seen in other muscle groups throughout the intervention requiring patience from the patient and clinicians. Many of the patient’s gains in submaximal strength were seen during the final 4 weeks, which could have been missed with a 12-week programme.

In a previous 12-week home-based functional exercise study, subjects with relatively high baseline function reported ratings of perceived exertion (RPE) in the 3/10 “somewhat easy” scale range (12). This participant reported the highest intensity “somewhat difficult” (mean RPE 5.1/10) during the last 4 weeks of the programme, when only the load was being increased. This training programme was performed in a “circuit” fashion at an intended higher intensity than was used in the previous study (12). Recent pilot work suggests that people with SBMA may respond better to exercise (cycling) containing higher intensity and shorter duration (11). While cause-effect relationships are beyond the scope of case reports, we postulate that the higher intensity employed by our patient, coupled with shorter bursts of exercise (each set of repetitions lasting 30–45 s) may have facilitated improvements in functional performance, while also keeping muscle soreness and post-exertional fatigue low.

*Careful selection of clinical outcome measures*

An important consideration for this case was selecting appropriate outcome measures to track the patient’s response to the intervention. Tools commonly used in SBMA research, such as AMAT, MMT, and 6MWT, were unable to identify impairments initially due to his high functional level. Continuous scale measures, such as muscle dynamometry, 30-s STS, and instrumented balance, testing along with patient-selected and patient-reported outcomes, were essential to detect the patient’s initial impairments and training response.

*Clinical relevance of plantar flexor strengthening and weight-bearing functional exercises on dynamic balance*

The patient initially reported loss of balance and falls, a common complaint in people with SBMA, but reported...
no falls during the intervention and follow-up periods (2). Objective balance measurements revealed impairments of dynamic and static postural control. Strength of bilateral ankle plantarflexion (APF) improved following the intervention from 60% to 100% of predicted. We speculate that this strength gain may partially explain the improvements in LOS backward excursions and his improved mCTSIB COG alignment. There is evidence that calf strength plays a key role in anterior/posterior LOS, and APF strengthening improves dynamic balance in older adults (34, 35).

The improvement in preferred standing alignment in the foam with eyes closed mCTSIB condition could be clinically significant, since individuals with SBMA have poor performance while standing on foam with eyes closed, reflecting impaired proprioception (28). We speculate that the relatively high dose of upright balance and functional exercises (estimated 3,720 repetitions in total) may have augmented his APF strength and proprioception. There is evidence of improved proprioception in subjects with osteoarthritis who performed weight-bearing knee-strengthening exercises compared with those who performed similar non-weight-bearing strengthening exercises (36). Right hip extensor strength improvement may also have led to improved postural control during LOS testing; however, we did not make other objective core strength measurements. Finally, we suggest that the coupled effects of bringing his preferred COG from a more forward position towards centre and normalizing his backward LOS excursions may help reduce fall risk in the backward direction.

Functional improvements and increased exercise intensity despite few MVIC improvements

Isometric peak force does not always improve as functional performance does. While our patient’s MVIC generally did not improve, except for APF strength mentioned above, he demonstrated improved submaximal muscle performance capacity, advancing the 10RM load and volume for all exercises and increasing STS, LEFS, and PSFS outcomes. Reports have shown non-significant changes in isometric peak force after a 12-week progressive resistance strength training programme, while dynamic muscle strength improved, in patients with sporadic inclusion body myositis and children with Spinal muscular atrophy (SMA) (6, 37). Clearly, aspects of muscle performance other than peak force can lead to improved functional performance and balance but were beyond the scope of this report. Future research designs emphasizing muscle endurance, motor control, and dynamic balance may help clinicians to optimize function and quality of life for people with SBMA.

In conclusion, the patient was able to safely perform and tolerate 15-weeks of progressive resistance training 3 times per week with a combination of free and global gym weights and body-weight functional and dynamic balance exercises. Importantly, he received a high degree of supervision and consistent monitoring for adverse effects in an outpatient hospital setting. He reported high satisfaction with the intervention and functional improvements on several self-report questionnaires. Twelve months after the intervention, the patient reported that he had retired and was enjoying an outdoor recreational lifestyle, managing his 10-acre property. Research with appropriate design, adequate sample size, and objective continuous scale outcome measures is needed to determine if people with SBMA might benefit from similar training programmes.

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The authors have no conflicts of interest to declare.

REFERENCES


